



**EQUESTRIAN  
CANADA  
ÉQUESTRE**

**SECTION A  
GENERAL REGULATIONS**

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Rules of Equestrian Canada  
2022

# **CLEAN COPY EDITION**

This document contains the final text effective Jan. 1, 2022.

*Amended May 16, 2022.*

Subsequent changes are noted with change bars.

# EQUESTRIAN CANADA RULEBOOK

The rules published herein are effective on January 1, 2022 and remain in effect for one year except as superseded by rule changes or clarifications published in subsequent editions of this section. Section A as printed herein is the official version of *the EC General Regulations* for 2022.

The Rule Book comprises the following sections:

- A General Regulations
- B Breeds
- C Driving and Para-Driving
- D Eventing
- E Dressage and Para-Dressage
- F General Performance, Western, Equitation
- G Hunter, Jumper, Equitation and Hack
- J Endurance
- K Reining and Para-Reining
- L Vaulting

## **Section A: GENERAL REGULATIONS**

is part of the Rule Book of Equestrian Canada  
and is published by:

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# EQUESTRIAN CANADA RULE BOOK

## SECTION A GENERAL REGULATIONS

These Rules are to be used in conjunction with the Discipline/Breed Rules of Equestrian Canada.

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# EQUESTRIAN CANADA

Equestrian Canada is the national governing body for equestrian sport in Canada, with a mandate to represent, promote and advance the sport in Canada, and to represent, promote advocate for and advance all related equine and equestrian interests, including recreation, industry and equine health and welfare.

## PATRON

Her Excellency the Right Honourable Mary Jeannie May Simon CC., CMM.,  
COM., OQ., CD., FRCGS., Governor General of Canada

## THE EQUESTRIAN CANADA RULEBOOK

Knowledge of the rules of any sport is required of each participant, and the competitor at an EC-sanctioned competition must accept this responsibility. Both a complete knowledge of and compliance with the rules are essential, and all participants must be fully cognizant of all rules as well as particular class specifications in the discipline/breed sport in which they compete.

It is not possible to provide for every conceivable eventuality in these rules. If there is no rule to deal specifically with a particular circumstance, or if the nearest interpretation of the pertinent rule would result in an obvious injustice, it is the duty of those responsible to make a decision based on common sense and fair play, thus reflecting as closely as possible the intention of the rules and regulations of Equestrian Canada.

### Organization of the Rulebook

The EC Rulebook is divided into multiple sections, grouped by disciplines and breed sports. Section A covers general regulations that apply to all EC members, competitors, officials, owners, equines, organizers and persons responsible unless superseded in other sections of the Rulebook.

### Evergreen Rules Process

The Equestrian Canada rulebooks are updated annually, effective January 1<sup>st</sup>. The official rulebook will be as published on the EC website and may be amended as follows.

### Amendment of the Rules

It is the right of every sport licence holder of Equestrian Canada to propose amendments to the rules, subject to the current policies, procedures and schedules. The deadline for Rule Change Suggestions (RCS) shall be May 31 each year in accordance with the procedures outlined on the EC Rule Change page. Suggestions will be reviewed by the appropriate discipline/breed sport EC committees who will consider all suggestions and put forward those they recommend as Rule Change Proposals (RCPs). RCPs will be posted on the EC website to allow for a 30-day review period. The EC committees will consider all comments and make any necessary revisions. Rule changes will be posted on the EC website in December to be effective January 1 the following year.

The amendment process will be strictly followed. Extraordinary rule amendments will be permitted only for FEI rule changes, safety, monetary, clarification, ethical and equine welfare reasons at the discretion of the National Rules Committee using the following protocol. Extraordinary rule amendments will be effective when published by EC on its official website. FEI Rules, applicable to EC-sanctioned competitions, are effective upon publication by the FEI.

### **Extraordinary Amendment Process**

1. **Proposal** – An Extraordinary Rule Amendment (ERA) is composed by the EC discipline/breed committee, EC staff or National Rules Committee (NRC) with supporting rationale.
2. **Authorization** – The applicable discipline or breed committee must authorize each ERA, note it in their minutes and forward it to the NRC.
3. **Approval** – The NRC is responsible for confirming the ERA criteria (FEI rule change, safety, monetary, clarification, ethical or equine welfare) have been satisfied
4. **Posting** – EC will translate and post the amendment and show changes to both change visible and clean copy online Rulebooks. The amendments display the date of the NRC approval for consistency.
5. **Effective** – An ERA is effective when published on the EC website. The file reference shall retain the original approval date.

### **Interpretation of the Rules**

Please read all cross-references carefully and refer to the EC website for rule changes and/or clarifications. Should the English and French versions differ, the English version shall prevail. In the case of conflict between General Regulations and the regulations of the disciplines/breed sports, the discipline/breed sport rules will prevail.

## **CHAPTER 1 SPORT SAFETY**

### **ARTICLE A101 ACCIDENTS & RETURN TO SPORT**

1. This rule is applicable to all competitors (including foreign) at EC sanctioned events and applies to falls/accidents that occur at the event location.
2. In the event of a fall/accident where a concussion can be reasonably suspected, (not limited to a direct blow to the head or loss of consciousness), the competitor must receive medical clearance by qualified medical personnel onsite, through the EC Accident Injury Report Form before continuing. . The competitor is solely responsible for ensuring the onsite medical assessment takes place by the onsite qualified medical personnel. If the competitor refuses to be evaluated, he/she is disqualified from the event.
3. If the qualified medical personnel suspects a concussion (regardless of symptoms displayed), or believes that the competitor may be at risk of concussion due to the nature of the fall or impact, the competitor is suspended from competition until medically released, as outlined in paragraph 6 below.
4. In the case of the preceding paragraphs, an EC Accident/Injury Report Form must be completed by either the attending Steward, Technical Delegate or the attending qualified medical personnel onsite and submitted to EC within 24 hours of the occurrence. It is the Steward's / Technical Delegate's responsibility to ensure forms are complete and submitted on time.
5. Any competitor suspended from competing further at the event on the basis of any of the preceding paragraphs will be placed on a medical suspension list, managed by EC, until medically released as in the process described in paragraph 6.
6. In the event a competitor is determined ineligible to compete per one of the above conditions, the competitor must submit the EC Return to Sport Form to EC, completed by a licenced physician. The EC Return to Sport Form will be processed by EC within two business days, at which point the competitor will be removed from the EC Medical Suspension list and may return to regular competition. If the competitor wishes to compete after being medically released by a physician but before the EC processing period of the form, the competitor must also submit a copy of the EC Return to Sport Form to the show secretary. In this case the competitor is competing at their own risk and may be pursuant to fines and penalties if the form is found to be incomplete in any way.
7. No competitor shall compete in an EC sanctioned event while on the EC Medical Suspension List. It is the responsibility of the competitor to refrain from competition and the competition organizer may refuse entry to anyone currently on the EC Medical Suspension List.
8. These rules also apply to EC sanctioned competitors competing in FEI and USEF competitions.

### **ARTICLE A102 SAFE SPORT ENVIRONMENTS**

1. Effective 2022, EC Sport License holders at least 18 years of age must complete recognized safe sport training modules addressing the recognition and prevention of maltreatment in sport and concussion.
2. Effective 2022, Coach Status is required to engage in coaching activities at EC sanctioned events.

## **CHAPTER 2 EC SPORT LICENCES**

### **ARTICLE A201 GENERAL**

Licence categories for EC individual and groups, (along with exemptions from EC Sport Licence requirements) and defines EC-sanctioned competitions. For Sanctioned Competitions, see Chapter A3 and Glossary.

1. Membership qualifications for Equestrian Canada are stated in the Bylaws, Article 3.

### **ARTICLE A202 INDIVIDUAL SPORT LICENCE CATEGORIES**

Individual licence categories are:

1. Platinum (A204)
2. Gold (A205)
3. Silver (A206)
4. Bronze (A207)
5. Temporary (A208)
6. Lifetime (A209)
7. Corporate/Syndicate ( A210)

### **ARTICLE A203 GENERAL RULES -- SPORT LICENCES**

1. All individual Sport Licence holders residing in a Participating Province or Territory must purchase a membership from that Participating PTSO.
2. A valid Sport Licence allows the holder to participate in USEF sanctioned competitions without USEF membership. (See USEF Rule 901.9(9)). Note: A USEF Amateur Card may be required.
3. All Sport Licences except Life and Temporary expire at the end of the calendar year.
4. Sport Licence fees will be assessed annually according to the *EC Schedule of Fees*. Sport Licence renewal fees not received by April 1 at the EC national office will be subject to a late penalty. (See *EC Schedule of Fines and Penalties*).
5. An EC Sport Licence is required for competitors, owners or lessees, and individuals accepting legal responsibility for an entry in EC-sanctioned competitions. For exceptions, see Exemptions - EC Sport Licences.
6. Sport Licence holders shall be deemed not in good standing during a period in which:
  - a) They owe fees, dues or other obligations to EC, or to an EC-sanctioned competition.
  - b) They have been suspended by EC, the national federation of another country that is a member of the FEI, or the FEI itself.

**Note:** Sport Licence holders who have been suspended by a National Federation of another country that is a member of the FEI, or by the FEI itself, may apply for a review of the penalty applicable in Canada by the Judicial Review Committee.

### **ARTICLE A204 INDIVIDUAL PLATINUM SPORT LICENCES**

An individual holding a Platinum Sport Licence is eligible to:

1. participate as a rider, driver, vaulter, owner or lessee at all EC and FEI-



- sanctioned competitions;
2. compete at FEI competitions inside and outside of Canada with permission of EC subject to discipline/breed sport rules;
  3. receive an amateur card, if qualified;
  4. receive/be eligible for EC provincial and national awards; and
  5. apply to be, or maintain status as, an official EC judge, steward, course designer, technical delegate or EC certified coach or instructor.

EXEMPTION Subject to annual review by Sport Council, competitors may enter FEI Endurance classes with the following valid Sport Licences:

- a) Silver Sport Licence (A206) – Endurance 1\*
- b) Gold Sport Licence (A205) – Endurance 2\*.

#### **ARTICLE A205 INDIVIDUAL GOLD SPORT LICENCES**

An individual holding a valid Gold Sport Licence is eligible to:

1. participate as a rider, driver, vaulter, owner or lessee at all EC-sanctioned competitions;
2. receive EC awards;
3. receive an amateur card, if qualified;
4. apply to be, or maintain status as, an official EC judge, steward, course designer, technical delegate or EC certified High Performance 1 coach, Coach Specialist, Competition Coach or Instructor;
5. apply the cost of a Gold Sport Licence to the purchase of a Platinum Sport Licence.

#### **ARTICLE A206 INDIVIDUAL SILVER SPORT LICENCES**

An individual holding a valid Silver Sport Licence is eligible to:

1. participate as a rider, driver, vaulter, owner or lessee at all Silver and Bronze competitions as well as within their respective breed classes of their own EC affiliated breed organizations;
2. receive an EC Amateur Card, if qualified;
3. receive EC awards;
4. upgrade to a Gold Sport Licence at a Gold Competition;
5. apply the cost of a Silver Sport Licence to the purchase of a Gold Sport Licence;
6. apply to be, or maintain status as, an EC certified Coach Specialist, Competition Coach or Instructor.

#### **ARTICLE A207 INDIVIDUAL BRONZE SPORT LICENCES**

An individual holding a valid Bronze Sport Licence is eligible to:

1. participate as a rider, driver, vaulter, owner or lessee at all Bronze competitions;
2. receive an EC Amateur Card, if qualified;
3. receive discipline/breed sport, regional or zone awards where there is an awards program;
4. upgrade to a Silver or Gold Sport Licence at a Silver or Gold Competition;
5. apply the cost of a Bronze Sport Licence to the purchase of a Silver or Gold Sport Licences.
6. apply to be, or maintain status as, an EC certified Competition Coach or Instructor.
7. apply to be, or maintain status as, an official EC endurance technical delegate or an EC para-equestrian classifier.

## **ARTICLE A208 TEMPORARY SPORT LICENCE**

1. Temporary Sport Licences are available for a one-time event in Silver or Gold classes. They are not available for competing in Bronze or Platinum classes.
2. Owners or lessees, Persons Responsible and competitors who do not hold a valid Sport Licences may purchase Temporary Sport Licences at the event. The fee for Temporary Sport Licences is listed in the current EC *Schedule of Fees*.
3. A Temporary Sport Licence allows the person the privilege of competing at the competition where the Sport Licence was purchased. However, such licence does not include any other EC benefits. A Temporary Sport Licence holder is not entitled to purchase a passport or to accumulate points.
4. An eligible Temporary Sport Licence holder may compete in amateur classes provided an Amateur Affidavit has been completed and is on file with the competition Secretary.
5. Results achieved while competing with a Temporary Sport Licence may not be used to qualify for year-end awards, championship competitions, finals or subsequent competitions requiring qualification.
6. Owners who are Temporary Sport Licence holders must complete the Temporary Horse Registration form at every competition where Horse Recordings are required.
7. To purchase a Temporary Sport Licence ,for those residing in a participating province or Territory, an individual must be a member of that Participating PTSO.

## **ARTICLE A209 LIFETIME SPORT LICENCES**

1. Individuals who were issued CEF life memberships prior to 1998 are considered to hold a lifetime Sport Licence.
2. Individual Lifetime Sport Licence holders wishing to compete in EC-sanctioned competitions and residing in a Participating Province or Territory must be a member of that Participating PTSO. See A203.
3. The cost of Horse Recordings, Horse Licences, Coaching fees or Officials fees are not included in the Lifetime Sport Licence.

## **ARTICLE A210 CORPORATE/SYNDICATE SPORT LICENCES**

1. Corporate/Syndicate Sport Licences can be purchased by corporations or business enterprises, including syndicates that own horse(s) for competition purposes.
2. One representative from the Corporation/Syndicate must hold a current EC Gold or Platinum Sport Licence. If the Corporation/Syndicate is applying for a FEI passport one member must hold a current EC Platinum Sport Licence.
3. Sport Licence privileges extend only to the competitive requirements of the corporate/syndicate horses and do not extend to other horses or other EC activities unrelated to the syndicate/corporation-owned horses.
4. All persons listed as owners of a corporation/syndicate are responsible for maintaining their Sport Licences in good standing.
5. All riders/drivers/vaulters of corporate/syndicate-owned horses must hold a current individual EC Gold or Platinum Sport Licence.

## **ARTICLE A211 SINGLE EVENT SPORT LICENCE UPGRADE**

1. Owners or lessees, Persons Responsible and competitors who hold valid Sport Licences, but at a lower level than required, may purchase Single Event Sport

Licence Upgrades at the event. Upgrades may be obtained for Silver or Gold competitions. The fee for a Single Event Sport Licence Upgrade is listed in the current EC *Schedule of Fees*.

2. A Single Event Sport Licence Upgrade allows the holder:
  - a) to compete only at the event for which it was purchased; and
  - b) to accumulate points only at the event for which it was purchased.
3. Results achieved while competing with a Single Event Sport Licence Upgrade may not be used to qualify for year-end awards, championship competitions, finals or subsequent competitions requiring qualification
4. Competitors must comply with the Horse Recording requirements applicable to the level of competition.
5. There is no Single Event Upgrade to Platinum.

#### **ARTICLE A212 CHANGING SPORT LICENCE LEVELS**

1. An EC Sport Licence holder may purchase a higher level Sport Licence at any time during the same calendar year by application to EC or a Participating PTSO.
2. A credit for full amount paid for an existing Sport Licence may be applied to the cost of the new Sport Licence at the time of the new purchase.
3. Annual Sport Licence fees are not prorated.

#### **ARTICLE A213 EXEMPTIONS – EC SPORT LICENCES**

Exemption from EC Sport Licence is permitted only as described in this part of the Rule Book. All competitors at EC-sanctioned competitions must adhere to EC rules; however the following persons are exempt from holding EC Sport Licences:

1. Foreign Competitors are not required to hold EC Sport Licences provided they are members in good standing of another national federation recognized by the FEI.  
**Exception:** Any riders competing in JC and CET medal classes must hold an EC Sport Licence;
2. Parents and Guardians: A parent or guardian must sign the entry form of a minor child/Junior competitor (under 18 years of age).  
Parents/guardians are not required to have an EC Sport Licence provided they agree to abide by the rules and penalties contained in the Rule Book and acknowledge that they are liable under the penalty provisions of the Rules for any violations of the EC Rules by their minor child. .
3. Lead Line riders: are exempted from EC Sport Licence requirements. These children may not cross-enter any other class or division at the same competition. The horses are not restricted. The owners of horses competing only in these divisions are not required to have EC Sport Licences, and horses competing only in these divisions are not required to have an EC Horse Recording. See discipline specific rules.
4. Headers/Grooms: An EC Sport Licence is not required by: persons acting as headers, grooms or navigators in driving classes; grooms who assist a competitor in stripping in a championship under saddle class; and grooms, assistants or attendants who assist competitors with tack adjustments, or in case of equipment breakage, loss of shoe, fall of horse or rider, etc.; and
5. Handlers in Breeding Line Classes: In competitions requiring EC Sport Licences, competitors, trainers and handlers are exempt from EC Sport Licence requirements when competing in breeding line classes unless otherwise stated

in discipline/breed sport rules.

#### **ARTICLE A214 COMPETITORS WITH PHYSICAL AND/OR VISUAL IMPAIRMENT**

EC-sanctioned competitions and officials will make every effort to accommodate competitors with a physical and / or visual impairment. Competitors with a physical and / or visual impairment may compete in Equestrian Canada sanctioned competitions and in EC Para-equestrian (Para-Driving – Section C, Para-Dressage – Section E, Para-Reining – Section K) specific competitions.

Compensating aids are used by riders and drivers to compensate for the physical or sensory limitation resulting from their impairment, thereby enabling them to ride or drive a horse.

The well-being of the horse is paramount in considering the use of any compensating aid.

#### **ARTICLE A215 SPORT LICENCE SUMMARY**

1. Competitors participating in:
  - a) designated Bronze classes at EC-sanctioned events require a Bronze (or higher) Sport Licence. Bronze Sport Licences may be purchased through EC online, in person, at EC-sanctioned events, or at authorized Participating PTSOs. For privileges, see Article A207;
  - b) designated Silver classes at EC-sanctioned events require a Silver (or higher) Sport Licence, which may be purchased through EC online, in person, or a one-event upgrade may be purchased by Bronze Sport Licence holders. For those without a current Sport Licence, a one-event Temporary Sport Licence may be purchased at an EC-sanctioned event. A Temporary Horse Registration for classes where Horse Recordings are required may also be purchased at the event. For privileges, see Article A206;
  - c) designated Gold classes at EC-sanctioned events require a Gold (or higher) Sport Licence, which may be purchased through EC online, in person, or a one-event Upgrade may be purchased by Bronze or Silver Sport Licence holders. For those without a Sport Licence, a one-event Temporary Sport Licence may be purchased at an EC-sanctioned event. A Temporary Horse Registration for classes where Horse Recordings are required may also be purchased at the event. For privileges, see Article A205; and
  - d) designated FEI classes at EC-sanctioned events require a Platinum Sport Licence which must be purchased prior to the event through EC online or in person. There is no Upgrade or Temporary Sport Licence available to Platinum.  
Exception: Endurance FEI classes.

**CHAPTER 3**  
**EC-SANCTIONED COMPETITIONS**  
(SEE ALSO COMPETITION ADMINISTRATION POLICY-CAP)

**ARTICLE A301 GENERAL**

1. By applying for EC sanctioning, competitions agree to abide by the rules of Equestrian Canada both in letter and in spirit.
2. This chapter contains the regulations governing EC-sanctioned competitions in every discipline and breed sport.
3. A sanctioned competition in good standing is authorized to operate under and use the copyrighted rules of Equestrian Canada and, with the permission of EC, the rules of the FEI.
4. Each sanctioned competition is a separate legal entity for which application for competition licence must be made with the applicable fees in each case.
5. Competition licences expire at the end of the each sanctioned competition.

**ARTICLE A302 CLASSIFICATION OF EC-SANCTIONED COMPETITIONS**

1. The basic requirements for all categories of sanctioned competitions are set out in the following table. See Article A303 for Platinum Competitions; Article A304 for Gold Competitions; Article A305 for Silver Competitions; and Article A306 for Bronze Competitions.
2. It is essential for competition organizers, competitors, coaches and officials to refer to discipline rules for interpretation and exceptions to the rules set forth in this chapter.
3. Only one competition licence shall be issued to any competition organizer for an EC-sanctioned competition within a 7 day period unless allowed in the discipline rules.

<b>Competition Category</b>	<b>Platinum</b>	<b>Gold A, B, C</b>	<b>Silver</b>	<b>Bronze</b>
<b>Sport Licence</b>	EC Platinum Sport Licence or Gold licence where applicable	<b>EC Gold Sport Licence</b>	<b>EC Silver Sport Licence</b>	<b>EC Bronze Sport Licence</b>
<b>Passports / Horse Sport ID</b>	FEI Passport or EC National Passport where applicable	Horse Recording required (where applicable)		not required
<b>Equine Medication Control</b>	FEI testing	EC testing		
<b>Results Reporting</b>	Required			Required
<b>Prize Money Maximums</b>	No Limit	A = no limit B = \$100,000 C = \$35,000	\$10,000 and \$25,000 for annual championships	\$5,000.
<b>Rules</b>	FEI Rules/EC rules where applicable	EC rules		
<b>Minimum Emergency Standards (refer to discipline rules)</b>	Qualified medical personnel must be available, ambulance and veterinarian must be present or on call; farrier should be available.			
<b>Officials</b>	Discipline/breed sport specific			
<b>Stewards</b>	Mandatory at all EC-Sanctioned Competitions (Exception: disciplines that require a Technical Delegate or as stated in the Discipline/breed rules)			
<b>Awards</b>	competitors eligible for EC National awards	competitors eligible for EC National awards	Participating PTSO and discipline/breed sports may have own program	Participating PTSO, discipline/ breed sports regions and zones may have own programs

### **ARTICLE A303 EC PLATINUM COMPETITIONS**

1. A Platinum competition is a competition that is sanctioned by both EC and the FEI.
2. Organizing Committees must apply to individual disciplines at the EC office for permission to hold Platinum Competitions/ FEI sanctioned competitions.
3. Applicants must adhere to the time schedule set by the Federation Equestre Internationale. (FEI). This time schedule is available from the EC office.
4. A Platinum competition has the right to:
  - a) use the rules of EC;
  - b) be listed on the EC list of competition dates; and
  - c) have points won by their competitors count towards EC national awards.
5. For the maximum number of days of competition, refer to discipline/breed sport rules
6. For the Competition sanctioning fee, refer to the EC schedule of Fees.
7. A Platinum competition must use EC and FEI rules (where applicable) and the guidelines set down in the Competition Administration Policy as well as the relevant discipline/breed sport rules. These documents may be downloaded from the EC website.
8. FEI Passports or EC National Passport with active Horse Recording component are required where applicable. See Article A402, pertaining to EC National Passports and Horse Recordings.
9. Equine medication control may be carried out and drug testing fees will be collected.
10. In totaling prize money, competitions must include any add-backs and miscellaneous classes.
11. The level of officials must comply with discipline/breed sport rules.
12. It is essential for competition organizers, competitors, coaches and officials to refer to discipline/breed sport rules.

### **ARTICLE A304 EC GOLD COMPETITIONS**

1. There are three Gold Competition categories A, B, and C. Each discipline may or may not use all 3 levels. Refer to discipline/breed sport rules for definition of levels.
2. A Gold competition has the right to:
  - a) be listed on EC list of competition dates; and
  - b) have points won by their competitors count towards EC national awards
3. For the maximum number of days of competition, refer to discipline/breed sport rules
4. For the Competition sanctioning fee, refer to the EC schedule of Fees.
5. A Gold competition must use EC rules and the guidelines set down in the Competition Administration Policy and the relevant Discipline/breed sport rules. These documents may be downloaded from the EC website or obtained from a Participating PTSO.
6. Horse Recordings and/or EC National Passports are required where applicable. See Article A402, pertaining to Horse Recordings and EC National Passports.
7. Equestrian Canada drug-testing fees will be collected and equine medication control may take place.
8. In totaling prize money, competitions must include any add-backs and miscellaneous classes.
9. The level of officials must comply with discipline/breed sport rules.

10. It is essential for competition organizers, competitors, coaches and officials to refer to discipline/breed sport rules.

#### **ARTICLE A305 EC SILVER COMPETITIONS**

1. Each province has the right to name its own series of Silver Competitions (e.g. Ontario Trillium Circuit) and/or hold individual Silver competitions.
2. Prize money may not exceed \$10,000 or \$25,000 for an annual championship.
3. A Silver competition has the right to:
  - a) be listed on EC list of competition dates; and
  - b) have points won by their competitors count towards Silver level award programs.
4. For the maximum number of days of competition, refer to discipline/breed sport rules
5. For the competition sanctioning fee, refer to the EC Schedule of Fees.
6. A Silver competition must use EC rules and the guidelines set down in the Competition Administration Policy and the relevant Discipline/breed sport rules. These documents may be downloaded from the EC website or obtained from a Participating PTSO.
7. Horse Recordings are required where applicable. See Article A402, pertaining to Horse Recordings and EC National Passports.
8. Equestrian Canada drug-testing fees will be collected and equine medication control may take place.
9. In totaling prize money, competitions must include any add-backs and miscellaneous classes.
10. The level of officials must comply with discipline/breed sport rules.
11. Future eligibility (e.g. Green Hunter) is affected by results in these competitions.
12. It is essential for competition organizers, competitors, coaches and officials to refer to discipline/breed sport rules.

#### **ARTICLE A306 EC BRONZE COMPETITIONS**

1. Prize money may not exceed \$5,000.
2. A Bronze competition has the right to:
  - a) be listed on EC list of competition dates; and
  - b) have points won by their competitors count towards Bronze level award programs
3. For the maximum number of days of competition, refer to Discipline/breed sport rules
4. For the competition sanctioning fee, refer to the EC schedule of Fees.
5. A Bronze competition must use EC rules and the guidelines set down in the Competition Administration Policy and the relevant Discipline/breed sport rules. These documents may be downloaded from the EC website or obtained from a Participating PTSO.
6. Horse Recordings are not required at EC Bronze Competitions. See Article A402. pertaining to Horse Recordings and EC national Passports.
7. Equestrian Canada drug-testing fees will be collected and equine medication control may take place.
8. In totaling prize money, competitions must include and add-backs and miscellaneous classes.
9. The level of officials must comply with Discipline/breed sport rules.



10. Future showing status (e.g. Green Hunter) **is not** affected by results in these competitions.
11. It is essential for competition organizers, competitors, coaches and officials to refer to discipline/breed sport rules.

#### **ARTICLE A307 INACTIVE LICENCE –EC COMPETITIONS**

Any EC competition in good standing that sends written notification to Equestrian Canada and the Participating PTSO (to EC only for Eventing, Driving, or Vaulting events) by the deadline for competition application for any given year that it will not hold a competition in that year may retain its competition licence by payment of inactive fees of 25% of the annual competition fee. If no competition is held for two successive years following such notification, the competition licence shall expire. (Refer to discipline/breed sport rules).

#### **ARTICLE A308 CANCELLATION – EC COMPETITIONS**

1. Written notification of cancellation of an EC competition must be given to the Participating PTSO and Equestrian Canada at least thirty days prior to the opening day of the competition except under extraordinary circumstances (e.g. natural disasters, storms, accidents, emergencies). For discipline/breed sport specific cancellation policies, see discipline/breed sport rules.
2. Cancelled competitions shall be liable for 25% of the annual competition licence fee.
3. When proper notice of cancellation has not been provided, the Participating PTSO and Equestrian Canada have the right to refuse future dates and will retain that portion of competition licence fees in excess of the inactive competition licence fee.

### **ARTICLE A309 COMPETITION SANCTIONING FEES**

Competition Sanctioning fees are determined based on prize money. See the Equestrian Canada Schedule of Fees for the table outlining fees per event level.

### **ARTICLE A310 CONCURRENT COMPETITIONS**

1. Disciplines have the right to allow concurrent (more than one of Bronze, Silver, Gold or Platinum) classes at a single event.
2. For those disciplines electing to offer concurrent competitions:
  - a) The competition licence required shall be based on the highest competition level offered at the event.
  - b) The Competition Licence fee shall be based on the prize money offered according to the EC Schedule of Fees.
  - c) Sport licences required for participants shall be based on the classes entered.
  - d) The prize list must state which classes are Bronze, which are Silver and so on. (See individual discipline/breed sport rules).

## CHAPTER 4 HORSE RECORDINGS

### ARTICLE A401 PURPOSE

1. The Horse Recording is the official EC Record that is exclusively registered to a horse. Note: as with the former EC Horse Licence, the Horse Recording must be activated annually for use in competition. Each EC National Passport will possess a Horse Recording Component.
2. The Horse Recording number provides a means to identify the horse, owner and pedigree information. Note: Horses that formerly held EC Passports will carry their same number forward to their Horse Recording.
3. The Horse Recording is the annual licence which will be used to:
  - a) tabulate results
  - b) track the performance record of the horse
  - c) identify blood lines

### ARTICLE A402 GENERAL RULES - HORSE RECORDINGS

1. Horse Recordings are mandatory for all horses and ponies entering EC-sanctioned competitions when required by discipline/breed sport rules and must be available at the competition. (See Article A411 – EC National Passport or FEI Passport Unavailable).
2. All owners must be familiar with their responsibilities with respect to Horse Recordings and the penalties which can be levied for failure to abide by the rules set out in this chapter. Compliance with these rules is essential to track a horse's performance, status and points for EC awards.
3. The Horse Recording number is the control number used by EC and must be included on the entry.
4. Horses must be entered under the name appearing on the Horse Recording.
5. Owners and competitors of horses holding a Horse Recording who wish to apply for FEI passports refer to Article A412, FEI Passports.
6. Entries in height-restricted classes in competitions where Horse Recordings are required must have the information recorded on the Horse Recording or a temporary measurement card available prior to the start of the class or the entry will not be allowed to compete.
7. In competitions that require Horse Recording numbers, all Canadian entries are required to hold an EC Horse Recording and have paid the EC Horse Recording annual Activation Fee to compete, or if owned by a temporary sport licence holder, a Temporary Horse Registration form must be purchased at each competition entered.

### ARTICLE A403 APPLICATION FOR HORSE RECORDING

1. Owners must hold current Sport Licences and be in good standing in order to purchase Horse Recordings.

**Exception:** Temporary sport licence holders are not entitled to purchase Horse Recordings or accumulate points.
2. Horse Recordings may be obtained from EC for domestic horses at the price listed on the current EC *Schedule of Fees*.
3. Applications for Horse Recordings must include the information detailed in Article A405, Mandatory Requirements for Horse Recordings.
4. Applications must include additional information required by discipline/breed

sport rules. It is the applicant's responsibility to check discipline/breed sport rules.

5. Any application which is incomplete will be returned to the applicant for completion. Failure to submit all required information, supporting documents and the horse photo will result in an invalid Horse Recording and a pending status. The Horse Recording pending status will remain until the missing information, documents or photo has been submitted and approved by Equestrian Canada. Invalid Horse Recordings will result in loss of points. EXEMPTION: Horse Recordings purchased online will be honoured for 30 business days from the time of purchase until EC approval is granted.
6. It is a violation of EC Rules to provide false information on an application for a Horse Recording. See Articles A407.5 and A412.8.

#### **ARTICLE A404 EXEMPTIONS**

1. Horse Recordings are not required for horses competing in:
  - a) only the hack division;
  - b) only classes restricted to lead line riders;
  - c) only breeding line classes in divisions that would otherwise require horse recordings;
  - d) Bronze level classes;
  - e) Breed sport competitions;
  - f) Endurance events;
  - g) Driving events; or
  - h) General Performance competitions
2. Except as stated in discipline/breed sport rules, foreign-owned horses may compete in EC-sanctioned competitions providing that:
  - a) an affidavit is signed certifying the horse's eligibility, and
  - b) the owner, rider/driver and trainer are members in good standing of their own national federation.

#### **ARTICLE A405 MANDATORY REQUIREMENTS FOR HORSE RECORDINGS**

1. Name of horse (including registered name if different from competition name) gender, colour and year of birth.
2. Name and address of owner, owner's EC sport licence number, and date of purchase if applicable.
3. Country of origin, breed, breed registration numbers with names of breed registries, name of sire and dam, and specific identification information if available.
4. The application must be accompanied by the fee listed in the current EC Schedule of Fees.
5. Eligibility of the horse for divisions in which it will compete can be verified by competition results from EC sanctioned competitions. EC online competition results list both placings and classes entered.
6. In the case of ponies eight years or over, the owner must enter a statement as to whether the pony is small, medium or large and this must also be signed and dated. Regarding pony measurement, refer to Chapter A11, Measurement, and discipline/breed sport rules. For ponies under eight, see Article A1104, Temporary Measurement Forms.
7. Where applicable, the pony measurement section must be completed.

Temporary pony measurement forms must be in the possession of the owner/lessee and available to competition management or stewards.

8. Change of name, if any, must be certified by EC.
9. Transfer of ownership, if any, must be certified by EC.

#### **ARTICLE A406 INSPECTION AND VALIDATION**

1. Horse Recordings will be used to determine the eligibility of the entries.
2. Horse Recordings may be picked on a random or targeted basis for validation by the steward.
3. Stewards will notify EC of any Horse Recordings found invalid. See Article A407, Horse Recording Violations and Penalties.

#### **ARTICLE A407 HORSE RECORDING VIOLATIONS AND PENALTIES**

1. The official registration number of each Horse Recording must be on every entry form for all EC competitions. See also Article A404, Exemptions.
2. Leased horses must be shown in the name of the owner unless the lease is registered with EC. **Exception:** Horses with validated FEI leases may be shown under name of the lessee.
3. Any person found guilty of obtaining an additional Horse Recording for a horse, whether in the original name or in a new name, without due cause, or guilty of forging any signatures on a Horse Recording, shall be penalized as follows:
  - a) **1<sup>st</sup> violation** – current fine to be paid to EC;
  - b) **2<sup>nd</sup> violation** – called to a hearing
4. Owners who fail to pay fines assessed for Horse Recording violations will be considered not in good standing and will be barred from further competition with all horses under their ownership or under the ownership of their stable or of immediate members of their family until the fine has been paid.
5. New owners will not be penalized for Horse Recording violations for which a previous owner is responsible. However, they are responsible for correcting the errors and violations and having the Horse Recording validated by EC prior to entering the horse in a competition. Failure to make the required corrections will result in disciplinary action as set out in this article.

#### **ARTICLE A408 NAME CHANGE (HORSE RECORDINGS)**

. When a horse's name is changed on a Horse Recording, only the new name will be carried forward.

#### **ARTICLE A409 TRANSFER OF OWNERSHIP: HORSE RECORDING OR EC NATIONAL PASSPORT**

1. The Horse Recording or EC National Passport is the official competition document of identification assigned to the horse and as such must be transferred with the title to the horse at the time of sale.
2. On change of ownership, the purchaser must immediately file to change with EC.
3. The application must be accompanied by the fee listed in the current EC *Schedule of Fees*.
4. EC will record and certify the change of ownership when documentation is complete and the applicable fees are paid.

## **ARTICLE A410 REQUIREMENTS**

1. EC sanctioned competitions require the following (where applicable)
  - An active Horse Recording
  - An EC National Passport (with active Horse Recording component)
  - An FEI Passport (with active Horse Recording component)
2. If an EC National Passport or FEI Passport cannot be produced, the person(s) responsible must complete a *Temporary Horse Registration* form and pay the temporary registration fee. No points will be tabulated.
3. Results achieved while competing with a Temporary Horse Registration may not be used to qualify for year-end awards, championship competitions, finals or subsequent competitions requiring clarification.

### **EXCEPTION: A404 EXEMPTIONS**

**EXCEPTION: Foreign owned horses may compete with national passports recognized by the FEI. American-owned horses may compete with a valid USEF Horse Recording number.**

## **ARTICLE A411 EC NATIONAL PASSPORT OR FEI PASSPORT UNAVAILABLE**

1. If an EC National Passport or FEI Passport is not available because it is:
  - a) a new EC National Passport or FEI Passport that has not yet been received or processed; or
  - b) an existing EC National Passport or FEI Passport that has been sent to EC for validation, revalidation (FEI Passports only), change of ownership or name.

The competitor must obtain from EC written notification the EC National Passport or FEI Passport is en route or being processed. The notification will include the horse's name and passport number, and whether it is an EC or FEI passport. (Note that this service is subject to the standard EC rush fee as listed in the current EC *Schedule of Fees*). The person(s) responsible must sign the EC National Passport or FEI Passport Affidavit. Both the EC notification document and the signed affidavit must be presented to the competition entry secretary before the entry can be accepted.

## **ARTICLE A412 FEI PASSPORTS**

1. Every horse entered in any FEI-sanctioned competition in a foreign country and all horses entered for specified levels of FEI international events, official international events, championships or Regional and Olympic Games, whether at home or in foreign countries, must have an official FEI passport or a national passport approved by the FEI, as a means of identification and to establish ownership.
2. No competitor will be allowed to compete in any of the competitions listed in Article A412.1 unless an official FEI passport or a national passport approved by the FEI is submitted upon arrival at the competition.
3. Canadian-owned horses competing in national classes and holding an FEI passport are required to have an activated Horse Recording component. The owner must enter the FEI passport number on all entry forms.
4. FEI passports and EC Horse Recordings are required in specified levels of FEI Dressage, Driving, Endurance, Jumping, Three-Day Eventing, Reining and Vaulting competitions. FEI passports are required for horses competing in the North American Young Riders Championships.

5. Owners of imported horses that have an FEI passport must forward the passport to EC so that change of ownership can be recorded and eligibility established. See FEI General and Veterinary Regulations.
6. FEI passports must be surrendered to the new owner upon sale of the horse. The new owner is responsible for submitting the FEI passport to their National Federation with appropriate fees for change of ownerships.
7. For complete information on FEI passports, including purchasing, validation, change of ownership or change of name, contact the Equestrian Canada office or visit the website at [www.equestrian.ca](http://www.equestrian.ca).
8. Owners and competitors must hold current Platinum sport licences and be in good standing in order to enter an FEI-sanctioned competition. If an Individual/Corporation/Syndicate is applying for a FEI passport/revalidation and FEI registration, the representative must hold a current Platinum Sport Licence and be in good standing.

## CHAPTER 5 COMPETITIONS

### ARTICLE A501 TELEVISION RIGHTS

1. Television rights for all EC-sanctioned competitions are the property of EC. Organizers are to apply to EC for rights for their respective competitions.

### ARTICLE A502 RULE BOOK

1. All EC-sanctioned competitions and all competitors at such competitions shall be governed by the current rules of EC. **Exception:** Any competition issuing its prize list before new rules are published may be governed by the rules in effect at the time of printing the prize list. See Chapter A6, Prize Lists and Entry Forms. However, prize lists printed after the publication of new rules must be governed by the new rules.
2. The secretary of the competition shall have a copy of the relevant EC Rule Books, which must be available for reference at all times during the competition.
3. In case of a conflict between the General Regulations and discipline/breed sport rules, the discipline/breed sport rules will take precedence.

### ARTICLE A503 LOCAL AND MISCELLANEOUS/ADDITIONAL CLASSES

1. Competitions may hold any number of miscellaneous classes in which the specifications do not conform to the specifications for any class listed in the Rule Book but which are beneficial to a particular area. However, only classes not using the same specifications as any class listed in these rules may be called miscellaneous classes. See Glossary under “Classes”.
2. Competitions may also hold local classes which are restricted to entries from a particular geographic area. See Glossary under “Classes”.
3. All miscellaneous and local classes or divisions must be labeled as classes “not rated for EC awards”. **Note:** Holding a non-sanctioned class or division is not allowed under changing the conditions of a class.
4. All prize monies given in such classes must be included in the total used to determine the competition’s classification.
5. All other EC rules such as those pertaining to memberships, sport licences, equine medication control, EC National Passports/FEI Passports/Horse Recordings and entry status apply to all local and miscellaneous classes held at an EC sanctioned competition.
6.
  - a) All classes within a discipline or breed division at an EC-sanctioned competition must be EC-sanctioned classes, which may include local and miscellaneous classes.
  - b) All divisions within a discipline or breed at an EC-sanctioned competition must be EC-sanctioned divisions.
  - c) At competitions run by fair boards, where many disciplines and/or breed divisions are represented, it is permissible to hold some non-EC-sanctioned events alongside the EC-sanctioned disciplines or breed divisions



#### **ARTICLE A504 SCHEDULING**

1. No competition may hold classes for more than sixteen consecutive hours out of any twenty-four hour period from the start of the first class to the finish of the last class, including intermissions. There must be a recess of at least eight hours between the finish of the last class of an evening performance and the start of the first class of a morning performance the following day. A fine as listed in the current EC *Schedule of Fines and Penalties* per hour or part thereof will be imposed for exceeding the sixteen hour time limit or not allowing an eight hour recess.
2. All classes for any section for junior competitors in any one day must be held within a twelve hour period excluding intermissions.
3. No classes may be started after midnight.
4. For eventing and dressage, see Sections D and E of the Rulebook respectively.

#### **ARTICLE A505 CHANGES TO CLASSES, ORDER OF GO, CONDITIONS**

1. If a class is not filled and is cancelled, the secretary shall notify the competitors at the earliest possible moment. No class will be cancelled if it has five or more entries; a competition may at its discretion hold a class with fewer than five entries.
2. An announced sequence or time for classes shall not be changed unless written notice of such change is given to all competitors and officials affected at least one hour prior to the affected class or, in the case of the first class of the day, the night before.
3. The conditions of a class shall not be changed unless the competition obtains the agreement and signature of every competitor who is competing in the class or their authorized agent. Notice must be given and agreement obtained no less than one hour prior to the commencement of the class. **Note:** Holding a non-sanctioned class or division is not allowed under changing the conditions of a class.
4. If changes are made to the schedule or classes offered prior to the competition, the organizing committee must immediately notify all competitors and officials affected by the changes.

#### **ARTICLE A506 COMPETITOR BENEFITS**

In any given class, all entries must be on the same basis. It shall not be permissible for a competition to provide free entries or free transportation or other benefits to one competitor unless the same privileges are extended to all competitors on an equitable basis.

#### **ARTICLE A507 INSURANCE**

1. It is a requirement of EC that competitions seeking permits or sanctioning provide proof of commercial general liability insurance to be in full force and effect to a minimum of \$2 million.
2. The policy must contain clauses providing \$2 million limit of liability coverage for bodily injury and property damage to spectators and participants, cross liability coverage and non-owned automobile coverage.
3. The policy must contain a clause adding EC, Participating PTSO, officials, judges, course designers and volunteers as “additional insured” with respect to the operations of the competition.

4. A certificate of insurance on the form provided by EC must be submitted to the Participating PTSO prior to sanctioning the competition.
5. Where a competition does not comply with this regulation, no permit or sanction will be issued.

#### **ARTICLE A508 EMERGENCIES**

1. Provisions should be adopted prior to the competition for the proper handling of emergencies such as fire, accident and horse mortality.
2. For the protection of the audience and competitors, medical assistance must be reasonably available in a timely fashion either at the competition grounds or “on call” from an accessible off-site location, or both. In making this determination, the competition management must act reasonably and shall consider the discipline requirements at the competition, the physical location (remoteness) of the competition venue and the time needed to respond from an off-site location.
3. Management shall make the arrangements for appropriate medical assistance well in advance of the competition. EC strongly recommends qualified medical personnel to be onsite.

Qualified Medical Personnel is defined as any of the following availability on the grounds may include any of the following:

- a) Doctor;
  - b) Professional emergency personnel; such as EMT or Paramedic
  - c) An adult with recognized and valid First Aid training who is not competing; this individual may act in some other capacity at the competition providing this does not restrict their availability to all areas on the competition grounds. It is strongly recommended that the minimum certification held by a qualified medical personnel is First Responder Training.
4. A competition must have a qualified veterinarian present or on call for the duration of the time that competition horses are to be on site at the EC-sanctioned event. The veterinarian must be licenced in the jurisdiction of the competition and affiliated with an accredited practice. The veterinarian(s) will be present or on call for regular and out-of-hours emergencies.
  5. It is advisable that every sanctioned competition have a farrier available at all times during performances.
  6. See also discipline/breed sport rules.

#### **ARTICLE A509 EXERCISE AREAS**

1. The exercise schedule should be posted, including rings and times for all horses. Care should be taken to avoid conflicting use.
2. When a competition has two over fences rings going at the same time, two separate sets of schooling jumps should be available to competitors. Separate warm-up areas must be available for other divisions offered at the competition.

#### **ARTICLE A510 RETIREMENT CEREMONIES**

1. If a retirement ceremony is to be held at the competition, it is the duty of the organizing committee to notify Equestrian Canada of the name and passport number of any horse being retired at a ceremony held under its auspices. EC shall notify Participating PTSOs of such retirement.
2. In the event that a sanctioned competition shall, at the request of an owner of a given horse, permit a ceremony to mark its retirement from competition, such horse shall be permitted to compete at the competition only until the retirement ceremony takes place.
3. Any horse officially retired at a retirement ceremony shall be barred for life from further competition in the ring of sanctioned competitions. Exception: Dressage Article E 7.11.13 for development purposes.

#### **ARTICLE A511 SHOEING AND SHOE WEIGHT**

1. A horse cannot be refused entry to a class because of being unshod, unless otherwise specified in discipline/breed sport rules.
2. Competitions offering classes that designate a maximum weight for shoes must provide accurate scales for measuring.

#### **ARTICLE A512 INTERRUPTION OF CLASS**

1. In the event that a class in which horses compete individually is stopped while in progress by reason of storm, accident or other emergency, the class shall continue from the point at which it ceased and all scores already credited shall count.  
Example of an individual class: a jumper round or a dressage test.
2. In the event that a class in which horses compete collectively is stopped while in progress by reason of storm, accident or other emergency, the class when recommenced shall be held over in its entirety and no consideration shall be given to the performances before the class was stopped.  
Example of a collective class: ring classes such as English pleasure.

#### **ARTICLE A513 TIME OUT**

1. In the event of equipment breaking or a horse casting a shoe during the judging of a class, the judging shall be suspended for a period not to exceed seven minutes to allow repairs to be made or the horse to be reshod, unless otherwise provided in discipline/breed sport rules.
2. No horse shall be permitted more than one such exemption in a given class.
3. The steward is responsible for timing unless an official timer is present whose duty it then becomes. In case of a cast shoe, timing is to commence when the farrier places a hand on the horse's leg. **Exceptions:** See discipline/breed sport rules.

#### **ARTICLE A514 START OF CLASS**

In classes in which horses compete collectively, a warning that the class is about to start must be issued and the in-gate must be closed by the competition's in-gate official. Judging must not commence until the gate is closed.

#### **ARTICLE A515 SUBSTITUTION OF A RIDER, DRIVER OR HANDLER**

Substitution of a rider, driver or handler is permissible in accordance with class or discipline/breed sport specifications.

## **ARTICLE A516 EC YELLOW WARNING CARD**

1. An EC Yellow Warning Card may be issued to any individual sport licence holder at an EC-sanctioned competition for rule violations that are deemed to be minor in nature. These violations include but are not limited to the following:
  - a) an individual does not leave the field of play without delay after elimination or at the end of a round;
  - b) an individual does not follow the directives of the organizing committee;
  - c) an individual intentionally touches an obstacle with the effect of changing it;
  - d) an individual does not follow the directives of EC officials;
  - e) an individual exhibits disrespectful or inappropriate behavior towards EC or event officials or any other party connected with the event (Athlete, FEI employee or representative, journalist, member of the public, etc.);
  - f) an individual repeats an offense after a verbal warning to desist; or
  - g) an individual disregards the rules regarding dress and saddlery.

Elsewhere in the EC rules certain additional conduct may result in an EC Yellow Warning Card being issued.

2. EC Yellow Warning Cards can be issued by EC:
  - a) Stewards
  - b) Judges
  - c) Technical Delegates

EC Yellow Warning Cards will be delivered by hand at the competition whenever possible. Otherwise, EC Yellow Warning Cards will be delivered by other suitable means following the competition.

Note: Also see Driving Rules, and Hunter/Jumper Rules regarding warning cards.

Exception: For the complete rules and process pertaining to Warning Cards issued in the discipline of Eventing - refer to Section D Eventing Rules. Art D 112, D113 and Annex 8.

3. Should an individual receive a subsequent EC Yellow Warning Card within 365 days of a previously issued EC Yellow Warning Card, that individual shall be subject to a hearing to consider the conduct that lead to each EC Yellow Warning Card being issued pursuant to EC General Regulations Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions. Additional EC Yellow Warning Cards issued within the same 365 day period will result in a hearing to consider the conduct that lead to the EC Yellow Warning Card being issued pursuant to Chapter 12, above. The passage of 365 days since the issuance of a EC Yellow Warning Card will result in the conduct associated with that Card not being considered at future hearings.

## **ARTICLE A517 CRUELTY, ABUSE OR INHUMANE TREATMENT OF HORSES**

1. The abuse or inhumane treatment of a horse by an individual sport licence holder or other person at an EC-sanctioned competition shall not be tolerated under any circumstances.
2. The standard by which conduct or treatment will be measured is that which, a person informed and experienced in generally accepted equine training and competition procedures, would determine to be cruel, abusive or inhumane. Any treatment or practice that causes undue stress, and/or pain, is deemed abusive.

Sensory hairs of the head may remain unclipped or unshaven, but removal will not be penalized.

3. Cruelty can be defined as causing pain or unnecessary discomfort to a horse. As examples, an act of cruelty can be but is not limited to any of the following:
  - a) nosebands used in such a way that they interfere with the horse's breathing, or be tight enough to cause pain or discomfort;
  - b) excessively whipping or beating a horse;
  - c) subjecting a horse to any kind of electric shock device;
  - d) excessively or persistently using spurs or jabbing a horse in the mouth with the bit;
  - e) riding/driving an obviously exhausted, lame or injured horse;
  - f) rapping a horse at the event location (see Glossary for definition of "Rapping");
  - g) hyper-sensitizing any part of a horse;
  - h) neglect by:
    - i) leaving a horse without adequate food, drink and exercise; or
    - ii) failing to safely and securely tie or contain a horse while at the event location;
  - i) using shackles or chains (not to be confused with rubber or elastic exercising devices);
  - j) competing with a horse with raw or bleeding sores, or evidence of other blood on the horse (with the exception of insect bites or other environmental causes);
  - k) using an explosive (i.e. firecracker, fire extinguisher except in the case of fire) or using fire such as lighters, matches, etc.; or
  - l) ignoring adverse medication reactions that compromise the horse's welfare (including but not limited to staggering and falling down) is considered abuse. Such reactions must be reported immediately to the steward or organizing committee, and may be subject to equine medication control. A horse exhibiting adverse reactions to medication must be withdrawn for a minimum of 24 hours. In order to resume competing, the horse must receive a veterinary examination which finds no clinical abnormalities that would preclude return to competition in order to resume competing.
  - m) Any horse that receives shockwave therapy is not eligible to compete for 96 hours. Shockwave therapy can only be administered on competition grounds by a veterinarian\* and a Shockwave form must be filled out, signed and given to an officiating Steward or to the Competition Organizer. The penalty for competing within 96 hours is immediate suspension of the horse from that competition, return of all awards received and a yellow card.

A report of alleged abuse must be completed and submitted to the EC officials on site and to the Organizing Committee and forwarded to Equestrian Canada with the official's reports. For Eventing, Driving and Hunter/Jumper competitions refer to discipline rules.
4. The officiating steward, judge technical delegate, president of ground jury or appointed competition veterinarian has the authority to remove a horse from the event for a period of up to 24 hours, if in their reasonable opinion the horse is unfit to compete, or where, in their reasonable opinion, there is a safety concern regarding the horse. The official's decision is final and cannot be appealed.

5. The officiating steward, judge, or technical delegate must investigate any information or complaints regarding cruelty, abuse, or inhumane treatment of horses and these officials may thereafter act with the following authority:
  - a) the official may issue a Yellow Warning Card; or
  - b) the official may fine the offender; or
  - c) the official may disqualify the offender from further participation in the competition.

For Eventing, Driving and Hunter/Jumper competitions refer to discipline rules.

6. Information regarding or reports of cruelty, abuse or inhumane treatment of horses will be compiled by the officiating steward, judge or technical delegate and are to contain, at a minimum, the following information:
  - a) date and time of the alleged abuse;
  - b) EC competition name and number;
  - c) name of alleged offender and sport licence number;
  - d) name of and passport number of the horse if applicable;
  - e) a description of the incident and the nature of the alleged abuse;
  - f) signature of the steward, judge or technical delegate;
  - g) witness information including *Eye Witness Report* form; and
  - h) veterinary information and report, if available.

Copies of these reports must be attached to the Steward's Report and forwarded to the organizing committee and Equestrian Canada.

#### **ARTICLE A518 ELIMINATION AND DISQUALIFICATION**

1. The organizing committee, without waiting for a protest to be made, may eliminate a competitor who has made an improper entry of a horse, rider or driver. See Glossary for definition of "Elimination".
2. If at any EC-sanctioned competition, there appears to be a violation of the EC rules by a competitor which, in the judgment of the majority of the members of the organizing committee requires immediate action, the organizing committee shall investigate the alleged rule violation immediately. After hearing from the person affected, the organizing committee may eliminate or disqualify such person or persons from further participation in the competition. Anyone eliminated or disqualified from a competition is considered not in good standing at that competition. All organizing committee decisions must be immediately reported in writing to Equestrian Canada for possible further action.

**Exception:** A violation described in Article A517 shall be resolved only as set out in that Article. For Eventing exceptions see D116; for Driving exceptions see C202, C927 and C-FEI Code of Conduct for Welfare of the Horse.
3. Persistent acts of discourtesy or disobedience, as reasonably determined by the organizing committee, may also result in elimination or disqualification from the competition.
4. If an entry is eliminated or disqualified, it is not to be placed, regardless of the number of entries in the class, but it does count as an entry in the class.

#### **ARTICLE A519 VACCINATIONS**

All horses attending Equestrian Canada sanctioned competitions must have been administered Equine Influenza and Equine Herpes Virus (1&4) vaccinations within 6 months (+21 days grace period) before arrival at the event. No horse shall receive vaccination within 7 days prior to arrival at the event. Horses not in compliance with this rule may be asked to leave the event site at the discretion of

## Competition Management.

The frequency of vaccine administration should be as recommended by the vaccine manufacturer or veterinarian. It is recommended that vaccines be administered by or under the direction of a veterinarian. Competition management may request supporting documentation confirming a horse's compliance with mandatory vaccination requirements.

In the case of a horse that is unable to receive either of the vaccines, a letter from a veterinarian on official letterhead must be provided with the entry form, stating the horse cannot be vaccinated due to medical concerns. At the discretion of competition management, a log of the horse's temperature prior to arrival at the event site or during the event can be requested.

## CHAPTER 6 PRIZE LISTS AND ENTRY FORMS

### ARTICLE A601 PRIZE LISTS – PURPOSE AND PROCEDURES

1. The purpose of the prize list is to inform competitors and officials, and to be an agreement between the organizer and the competitor. Its preparation is one of first responsibilities of the competition management.
2. The prize list may only be published after the submission by the steward, or other discipline-authorized person, of the completed *Prize List Approval* form to EC or a PTSO.
3. Failure to obtain approval prior to publication or to publish the prize list as approved will be reported in the official Steward's or Technical Delegate's report and shall incur a fine as listed in the current *EC Schedule of Fines and Penalties*. This fine is payable to EC and/or the Participating PTSO.
4. The competition secretary must publish the 'approved final' prize list as detailed in the EC Competition Administration Policy (CAP 5.2.2).
5. The conditions of a class specified in a prize list may not be altered, but mistakes may be corrected by issuing notice thereof to all prospective competitors and officials in the class. A copy of such changes must be sent to EC and/or the Participating PTSO.
6. Additional classes may be offered, provided due notice is issued to competitors. A copy of such changes must be included in the steward's report.
7. For Eventing prize lists, see Article D2.1, Organizer's Responsibility.

### ARTICLE A602 PRIZE LISTS – MANDATORY INFORMATION

1. The published prize list must contain the official EC statement certifying that the competition is a sanctioned competition of EC. Prize lists are required for all competitions and may not be replaced by electronic technology.  
"The \_\_\_\_\_ competition is sanctioned as a: Platinum, Gold, Silver or Bronze competition member of Equestrian Canada 11 Hines, Suite 201, Ottawa, Ontario, K2K 2X1 and is governed by the rules of EC."
2. Standard class specifications that appear in the EC Rule Book should be used by all EC-sanctioned competitions in their prize lists. However, the competition organizing committee may omit such specifications, providing the prize list states clearly:  
"Every class offered herein is covered by the rules and specifications of the current rules of EC and will be held and judged in accordance with the EC Rule Book."
3. The prize list must contain:
  - a) address, telephone number, fax number (if available) and e-mail address (if available) of the competition office;
  - b) name of person to whom inquiries should be addressed;
  - c) exact location of the competition;
  - d) list of organizing committee members and name of competition manager or other person accepting responsibility for the conduct of the competition;
  - e) names of competition officials;
  - f) a copy of the "EC Statement of Principles"; and
  - g) the applicable equine medication control fee.
4. In competitions that require Horse Recording numbers, the prize lists must state that all Canadian entries are required to hold an EC Horse Recording and have



paid the EC Horse Recording annual Activation Fee to compete, or if owned by a temporary sport licence holder, a *Temporary Horse Registration* form must be purchased at each competition entered. Foreign entries must sign an affidavit. (See A404).

5. The following statement must be included in the prize list of any EC-sanctioned competition: “Any horse entered in any class at a competition may be selected for equine medication control while at the event location.”
6. For Entry Form requirements, see A802, Entry Forms.
7. The following statement must be included in the prize list of any EC- sanctioned competition: “Per Article A801, every entry at an EC-sanctioned competition shall constitute an agreement by the person(s) responsible that the owner, lessee, trainer, manager, agent, coach, driver or rider and horse shall be subject to the EC constitution and all rules of EC and any additional rules set by the competition. It is the responsibility of all individuals participating in any capacity in EC-sanctioned competitions to be knowledgeable regarding the EC constitution and the applicable rules of EC and the additional rules, if any, imposed by the competition. Do not participate in this EC- sanctioned competition in any capacity if you do not consent to be bound by the constitution and rules of EC and any additional rules imposed by the competition.”
8. The following statement must be included in the prize list of any EC-sanctioned competition: “All horses attending Equestrian Canada sanctioned competitions must have met the requirements of Article A519, Vaccinations. See Rules of Equestrian Canada, Section A, General Regulations, Article A519, Vaccinations.”
9. The following statement must be included in the prize list of any EC-sanctioned competition: “When registering for EC sanctioned competitions, athletes must indicate the name of their coach on the entry form or specify that they are self-coached. Coaches from other countries, must be in good-standing with their home federation and must declare/have proof of insurance . See Rules of Equestrian Canada, Section A, General Regulations, Article 102, Safe Sport Environments.”

#### **ARTICLE A603 EVENT-SPECIFIC INFORMATION**

1. The prize list must contain:
  - a) a list of classes offered by division with entry fees, Sport Licence required, late entry and post-entry fees and conditions, and distribution of total prizes and prize monies including, add-back if any. All miscellaneous and local classes must be identified as “not rated for EC awards”;
  - b) a tentative schedule of events;
  - c) directions as to payment of entry fees and stabling charges;
  - d) directions for arrival and departure of horses;
  - e) directions regarding time and place for measurement of horses, if applicable;
  - f) a statement regarding the number of admission badges to be issued to competitors in attendance and where obtained, if applicable;
  - g) exercise schedule including rings and times for all horses;
  - h) a reminder that competition numbers must be worn and visible at all times in the schooling and exercise areas as well as in the competition ring. See Article A814, Competition Number;
  - i) transportation information as required;

- j) information on parking and, if applicable, reserved seating and boxes;
  - k) information pertaining to sweepstake classes, grand championships and high-point awards. See Articles A704, A708 and A709 respectively;
  - l) conditions attached to any trophies which may be awarded;
  - m) Hors concours, whether allowed or not; and
  - n) any other breed rules that may be applicable.
  - o) at a competition (event) holding concurrent Equestrian Canada and FEI sanctioned classes/divisions; a horse that is presented to and not accepted at the First FEI Horse Inspection (or re-inspection), may not compete in Equestrian Canada classes/divisions held at the same competition (event) for a minimum of 48 hours. A horse held for re-inspection and subsequently not re-presented, is subject to the same condition. Please refer to FEI VR art. 1033 – Horse Inspections.
2. In addition, the prize list must state any local rules and set forth the policies of the competition on matters within its discretion:
- a) forfeiture of entry fees, if any, for withdrawal from the competition or cancellation of entry;
  - b) reservation regarding right to refuse entries (subject to championship rules limiting a competition's right to refuse entries). See Article A805 – Refusal or Limitation of Entries;
  - c) arrangements for payment of prize monies;
  - d) policy and fees, if any, for substitution of horse; and
  - e) permissibility of entries leaving the show grounds during the competition.
  - f) Competition organizers of EC Sanctioned Competitions must not require vaccination for Influenza and/or Equine Herpes Virus more frequently than twice a year.

## CHAPTER 7 COMPETITION PRIZE MONEY, PRIZES AND CHAMPIONSHIPS

### ARTICLE A701 PRIZE MONIES

1. Prize money is due to the owner of the horse within 30 days of the close of the competition, except in the case of fairs and exhibitions which may apply to the Participating PTSO for an extension.
2. Unless written permission to extend the payment date has been obtained from the Participating PTSO, failure to pay prize money or other indebtedness within 30 days of the last day of the competition may result in a fine to the competition equivalent to 20% of its competition fees.
3. The organizing committee shall not use prize money owed to one competitor to apply to the indebtedness of another.
4. No competition may advertise the prize money in any class unless the advertised amount which must be stated in the prize list is distributed in total to the winners. In the case of fewer entries than advertised placing, affected competitors must be notified in writing before the start of the competition of any new distribution plan.

### ARTICLE A702 ADD-BACK

A competition using the add-back system must post a list of entries prior to each class and indicate the minimum number of entries and prize money to be paid out. In the case of fewer entries than the minimum number indicated, the competition must refund entry fees on request.

### ARTICLE A703 RIBBONS AND PRIZES

At all EC-sanctioned competitions, Agriculture and Agri-Food Canada require that the prize ribbons for Grand Champion, Reserve Grand Champion, Champion, Reserve Champion, and First through Twelfth may be the following colours:

Grand Champion .....	Red, Blue, White and Yellow
Reserve Grand Champion .....	Blue, White, Yellow and Green
Champion .....	Red, Blue and White
Reserve Champion .....	Blue, Yellow and White
First .....	Red
Second .....	Blue
Third .....	White
Fourth .....	Yellow
Fifth .....	Green
Sixth .....	Pink
Seventh .....	Purple
Eighth .....	Brown
Ninth .....	Orange
Tenth .....	Mauve
Eleventh .....	Rose
Twelfth .....	Beige

## **ARTICLE A704 SWEEPSTAKE CLASS**

When a sweepstake class is offered and entry fees are to be divided amongst the winners, either with or without monies added by the competition, the total to be distributed shall be clearly designated in the prize list. Upon completion of the class, the monies distributed shall be as stated in the prize list and shall be based on the actual number of entries in the class.

## **ARTICLE A705 TROPHIES**

1. The conditions of any trophy including challenge or perpetual trophies may not be changed without the consent of the donor of the trophy or the donor's legal representative and, in the case of a challenge trophy, all those who have qualified as potential winners. See Glossary under "Trophy" for definitions.
2. At the discretion of the organizing committee, a competitor may be entitled to keep a challenge trophy for a period of 11 months from the time that it is won. If the trophy has not been returned at the end of that time, a competition may demand its immediate return, unless it has been won outright.
3. The failure of a competitor to return a challenge trophy as in Article A705.2 shall constitute a violation of the rules and the competitor shall be subject to the penalties provided for in Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions.
4. When a trophy is donated to a competition, the donor must specify whether it is to be given outright, or to be a challenge trophy or a perpetual trophy. In each case, conditions for the trophy must be specified in writing by the donor.

## **ARTICLE A706 CHAMPIONSHIP CLASSES**

1. All horses eligible for championships must compete in a championship class unless excused by the competition. **Exception:** A competitor who qualifies more than one horse for a championship may elect to show only one horse.
2. Competitors failing to comply with this rule will forfeit all winnings by this horse at the competition in question.
3. To be eligible for entry in a championship class at an EC-sanctioned competition, a horse must have been entered, shown and judged in at least one qualifying class in the same division. See Glossary for definition of "Shown and Judged".
4. No entry fee shall be charged in any class held only to award a championship and in which a competitor who has qualified must compete.
5. Fees may be charged for championship stakes and sweepstakes at the discretion of the competition.
6. Championship and stake classes are not necessarily the same; see discipline/breed sport rules.

## **ARTICLE A707 COMPETITION CHAMPIONSHIPS**

1. When computing points for championships at EC-sanctioned competitions, refer to discipline/breed sport rules.
2. Only those classes in which all horses and/or competitors in a given division have an equal chance to accumulate points may be used when computing points.
3. A minimum of three classes is required for championships in EC-sanctioned competitions.  
**Exception:** Dressage refer to Section E.

### **ARTICLE A708 GRAND CHAMPIONSHIPS**

The point value of championship placings for calculating grand championships must be specified in the prize list. Conditions pertaining to eligibility such as breed registration must also be stated in the prize list.

### **ARTICLE A709 HIGH-POINT AWARDS**

1. If a competition is giving a high-point award, the prize list must specify which classes will be counted towards the award.
2. An equal number of classes from each division must be included so that all competitors have an equal chance.
3. A minimum of two classes is required for a competition high-point award.

**Exception:** Dressage refer to Section E.

## CHAPTER 8 ENTRIES

### ARTICLE A801 GENERAL

1. Every entry at an EC-sanctioned competition shall constitute an agreement by the person(s) responsible that the owner, lessee, trainer, manager, agent, coach, driver or rider, and horse shall be subject to the constitution and rules of Equestrian Canada and any additional rules set by the competition.
2. Every entry shall further constitute an undertaking that:
  - a) every horse, rider or driver is eligible as entered; and
  - b) the owners, lessees and any of their representatives agree to be bound by the constitution and rules of Equestrian Canada and the competition, to accept as final the decision of the EC Board of Directors on any question arising under said rules, and agree to hold the competition, EC, their officials, directors and employees harmless for any action.
3. Entry forms must be properly completed. Incomplete or inaccurate entry forms may invalidate points earned at EC sanctioned competitions and are subject to further EC penalties.
4. All entries must be made in writing and must be accompanied by funds to cover all expenses involved, including entry and stabling fees and any other applicable charges. However, a competition has the option to state in their prize list that they will accept entries by fax or through online entry systems. Any competitor or agent who provides payment which is ultimately non-negotiable is in violation of these rules.
5. No entry is eligible to compete until the entry form has been signed by the person(s) responsible (please see Glossary for definition of “Person(s) responsible”). A parent (or guardian) must sign for junior riders/drivers. It is the responsibility of the competition secretary to ensure that no entry competes until or unless this requirement is met.
6. Person(s) responsible (PR) must sign the competition entry form. PR must be an EC Sport Licence holder in good standing OR in the case of junior/minor owner entries, a parent/guardian is entitled to sign as PR. In the case of USEF member entries PR may also be a USEF member in good standing (see Article A213.2).
7. Competitors from other countries must be members in good standing of their national federation, which is a federation recognized by the FEI.
8. Individuals are not eligible to participate in any EC-sanctioned competition during the term of any disqualification or suspension. Such disqualification or suspension is not in effect during the period of an appeal. See Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions.
9. Competitors are responsible for their own errors and those of their agents in the completion of their entry forms.
10. See also Chapter A14, Conflict of Interest Provisions.
11. If competition management allows an individual to compete without a required membership, Sport Licence and/or fee, the competition management will be fined according to the current *Schedule of Fines and Penalties*,
12. Failure of competition management to follow and enforce any rules could result in the loss of future competition licence approvals.

### ARTICLE A802 ENTRY FORMS

1. All entry forms must be submitted with the prize list for approval as per the EC Competition Administration Policy
2. Each prize list must contain an entry form.
3. Entry forms must include, on an individual line, an equine medication control testing fee for each horse entered.
4. All entry forms must contain the following statements which must be signed by the person(s) responsible (See Glossary – Person(s) responsible): “I hereby certify that every horse, rider and/or driver is eligible as entered and agree for myself and my representatives to be bound by the Constitution and rules of Equestrian Canada at this competition. It is hereby recognized that all equestrian sports involve inherent risk and that no headgear or protective equipment can protect against all foreseeable injury. I hereby accept this risk and hold harmless EC, the competition, their officials, organizers, agents, employees and their representatives. The person(s) responsible (PR) agrees to the release of any information on the entry form to EC.”
5. Space must be provided on the entry form for:
  - a) Passport and/or horse recording number of each horse in all divisions where required;
  - b) EC sport licence numbers for owner or lessee and rider or driver. Photocopy of current EC or other national federation licence or card to be attached;
  - c) date of birth for juniors, youths or young riders/drivers as required and, when a competition offers other classes divided by age, the date of birth of all riders or drivers entering these classes;
  - d) CET membership numbers for riders competing in CET medal classes. Photocopy of current CET membership card to be attached;
  - e) Amateur status, if required. Photocopy of current EC Sport Licence listing amateur status to be attached;
  - f) discipline or breed affiliation and association membership number, if applicable. Photocopy of affiliated association membership card to be attached;
  - g) horse’s name, age, gender, breed, colour, height (for horses over eight years);
  - h) registration number(s) of horse, if required. Photocopy of registration to be attached;
  - i) name, signature and EC number/USEF number/PTSO number (if applicable) of person(s) responsible;
  - j) signature of parent or guardian in the case of junior competitors.
  - k) Name and EC number/USEF number (if applicable) of the athlete’s coach.
6. All entry forms at competitions that are subject to the junior headgear rule (see Article A905, Headgear) must include the following acknowledgement and ensure that the entry form is signed by the parent/guardian: “In the event that \_\_\_\_\_ participates in an Equestrian Canada sanctioned competition where protective headgear is required for juniors, he/she will wear properly fitted and fastened approved headgear at all times while riding or driving at the event location. It is understood that juniors not meeting this requirement will not be allowed to compete at these competitions.” See Rules of Equestrian Canada, Section A, General Regulations, Article A905, Headgear.
7. All entry forms must contain the following statement which must be signed by

the person(s) responsible (See Glossary – Person(s) responsible): “I hereby certify that every horse listed on this entry form has met the requirements of Article A519, Vaccinations. See Rules of Equestrian Canada, Section A, General Regulations, Article A519, Vaccinations.”

8. Entry forms must be completed in full for each entry and filed with the competition.

#### **ARTICLE A803 MISREPRESENTATION**

1. Misrepresentation of the identity of a horse through falsification of a name, registration number, passport or horse licence number or descriptive material on any entry form, or the substitution in the competition of a horse other than the one named in the entry form for the class in question unless due notice is given to the organizing committee, shall result in forfeiture by a competitor of any winnings earned by such misrepresented or substituted horse at that competition and shall render the competitor liable for penalty as described in Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions.
2. FEI-sanctioned competitions must report such incidents to the FEI and EC-sanctioned competitions must report such incidents to EC.
3. It is the duty of the competition organizing committee to take action as above without waiting for a protest or, if in their opinion it is beyond their jurisdiction, to make a report to the Participating PTSO within 20 days.

#### **ARTICLE A804 DETERMINATION OF ENTRY STATUS**

Competitors in classes restricted by age and/or amateur status must be prepared to provide proof of eligibility.

#### **ARTICLE A805 REFUSAL OR LIMITATION OF ENTRIES**

1. Entries must be refused for members not in good standing.
2. Except as provided in Article A805.1, a competition may not refuse entries in the following cases:
  - a) to a class open to horses in a geographically-specified area;
  - b) to a class where the award offered is a challenge trophy, and the competitor in question is in contention for the trophy;
  - c) to a class whose points accumulate toward any regional, provincial, national or international final or championship, unless that class is restricted based on selection from the National Rankings. These conditions must be stated in the prize list;
  - d) to an athlete who is otherwise eligible to compete at a national team trial; and
  - e) to any athlete who is otherwise eligible to compete after reinstatement of sport eligibility pursuant to the *Canadian Policy on Doping in Sport*.
3. Other than as specified in Article A805.2, a competition may reserve the right to refuse an entry providing the reason for refusal is clearly stated in the prize list. Refer to Article A603.2(b) – Event Specific Information. For Eventing competitions, see Article D118; for Hunter Jumper Equitation rules, see G301.8.
4. A competition may limit the number of horses entered by an owner or the number of horses ridden/driven by a rider/driver. These conditions must be clearly stated in the prize list.
6. No points for regional, provincial, national or international finals, circuits, or



championships may be earned in restricted classes.

**Exception:** only those restricted classes that are primarily limited based on selection from the National Rankings to determine qualification will accumulate points toward EC awards. These conditions must be clearly stated in the prize list.

#### **ARTICLE A806 SUBSTITUTION OF ENTRIES**

The competition prize list must state the policy and fees, if any, for substitution of entries.

#### **ARTICLE A807 LATE ENTRIES AND POST ENTRIES**

1. Late entries or post entries are permitted at the discretion of the competition organizing committee. However, the prize list must clearly state whether or not such entries will be accepted. See Article 603.1a.
2. Late entries or post-entries cannot be accepted after the start of the class.

#### **ARTICLE A808 HORS CONCOURS (H/C) ENTRIES**

1. Hors concours (H/C) entries may be accepted at the discretion of the competition. The competition must state its policy regarding such entries in its prize list. See Article A603.1.m.
2. These entries must pay the same entry fee and are subject to the same conditions which apply to regular entries as outlined in the discipline/breed sport rules.
3. Hors Concours (H/C) entries are not eligible for any awards.
4. See also discipline/breed sport rules.

#### **ARTICLE A809 COGGINS TEST**

EC strongly recommends that all horse owners have their horses tested regularly for Equine Infectious Anemia (EIA or Coggins Test).

#### **ARTICLE A810 HORSES AND PONIES**

1. Horses must be entered under their recorded or registered name, with passport number, horse licence number and breed registration number where applicable, and under the name of the owner, the registered lessee or the registered farm or stable.
2. A pony shall not be eligible for classes open only to horses, unless otherwise provided in discipline/breed sport rules.
3. In all classes, ponies under saddle may be ridden by juniors or adults, except as noted in discipline/breed sport rules.

#### **ARTICLE A811 STALLIONS**

1. Stallions shall be barred from any class whose conditions specify that a junior is to ride or drive, except as provided for in discipline/breed sport rules.
2. Unmanageable stallions must be excused.
3. Decisions as to the manageability of stallions are at the discretion of the judge or steward.
4. See discipline/breed sport rules for interpretations and exceptions.

#### **ARTICLE A812 UNSHOD HORSES**

A horse shall not be barred from the ring because of being unshod, unless otherwise specified in discipline/breed sport rules. See also Article A511, Shoeing and Shoe Weight.

#### **ARTICLE 813 ARTIFICIAL MARKINGS AND APPLIANCE**

1. No horse may be present with its identity concealed in any manner by the application of paint or dye or any other substance. For interpretations, see discipline/breed sport rules.
2. Artificial appliances other than those permitted in discipline/breed sport rules are prohibited.

#### **ARTICLE A814 COMPETITION NUMBER**

Every entry must be provided an identification number by the competition organizing committee which must be worn and visible at all times in the schooling and/or exercise areas as well as in the competition ring.

#### **ARTICLE A815 DEPARTURE FROM COMPETITION GROUNDS**

Each competition may make its own rules regarding the permissibility of entries leaving the show grounds during the competition. Such rules must be stated in the prize list. FEI rules will apply for FEI-sanctioned events.

#### **ARTICLE A816 CANCELLATION OF ENTRY**

Competitors canceling their entry after the official date for the closing of entries and before the beginning of the competition shall forfeit their entry fee at the option of the organizing committee. The competition policy on forfeiture of fees must be stated in the prize list.

#### **ARTICLE A817 REGISTERED LEASES**

1. Registration of the lease of a horse entitles the lessee to all competitive privileges of an owner (including eligibility for EC awards), except the right to compete in classes restricted to owners and/or amateur owners.
2. The lessee must hold a valid EC sport licence or be a member in good standing of a FEI member foreign national federation.
3. To register a lease with EC, the lessee must submit a copy of the signed lease form together with the fee listed in the current EC *Schedule of Fees* payable to EC. EC will register the lease and return the lease to the lessee stamped with EC seal.
4. By registering a lease with EC, the lessee is agreeing to become the person(s) responsible for the leased horse, and as such to adhere to the provisions of Article A1011, Person(s) responsible.
5. In the case of a lease covering driving horse pairs or four-in-hands where the

horses leased will be entered as a unit and shown under the terms of the lease, a single lease registration fee will apply, it being the intention of this rule to have a record of the responsible person competing the horses.

6. All leases for FEI competitors must be registered with the FEI through EC prior to participation in an FEI competition. For further information pertaining to FEI leases, contact EC office, attention FEI leases.
7. Where passports are required, proof of registration of the lease must be entered and kept in the passport.

## **CHAPTER 9 COMPETITORS**

### **ARTICLE A901 JUNIOR/YOUTH AND YOUNG RIDER COMPETITORS**

1. No junior shall be classified as a professional.
2. See Glossary under “Junior/Youth” regarding age of juniors and youths, and under “Young Rider” regarding age of young riders.
3. Horses must be handled in the ring by a junior/youth or young rider/driver in all phases of a class.
4. In competitions where cross-entering between English and Western youth/junior classes is permitted, the age limit will be that for juniors. Individuals are considered juniors until the end of the calendar year in which they turn 18 years of age. No modification is permitted.
5. Junior, youth and young rider/driver competitors must be prepared to provide proof of age to competition management. See discipline/breed sport rules. Young riders/drivers are considered young riders/drivers until the end of the calendar year in which they reach the age of 21.

### **ARTICLE A902 AMATEUR COMPETITORS**

1. All adults competing in EC amateur classes must possess a current amateur card. Competitors in FEI-sanctioned competitions must comply with the FEI definition of amateur.
2. A person competing in EC amateur classes must hold a valid EC sport licence indicating amateur status and adhere to the guidelines as indicated in the discipline/breed sport rules. Riders competing in Amateur classes on a Temporary Sport Licence must have a signed Amateur Affidavit on file with the competition Secretary.
3. Applicable to CET only: product endorsement by an EC amateur must not be contrary to the terms of the EC Athlete Agreement.
4. Applicable to CET only: An EC amateur may not enter into any form of sponsorship agreement that is contrary to the terms of the EC Athlete Agreement.
5. Persons who possess a current and valid amateur card from their breed affiliate organization are eligible to compete in EC amateur classes within the divisions for that breed.
6. USEF members who are eligible to compete as amateurs are eligible to compete in EC amateur classes, except in CET and EC amateur medal classes when they must also pay the fee for a valid sport licence and be members of the CET as required by the class specifications. Proof of amateur status must be submitted with entries to EC-sanctioned competitions.
7. If the status of an EC certified amateur is challenged, such challenge is subject to EC rules regarding official complaints. See –EC Discipline, Complaints and Appeal Policy.
8. See Article A1302.8 regarding remuneration of officials and Section F, which forbids the offering of prize money in equitation, horsemanship and showmanship classes.

## **ARTICLE A903 APPLICATION FOR EC AMATEUR STATUS**

1. Amateur status is issued by EC.
2. Certification of amateur status is issued annually on EC Sport Licence cards.
3. All persons wishing EC amateur status must complete and sign the amateur declaration, which is on the *Sport Licence application/renewal* form, affirming their eligibility.
4. Eligible amateurs who are not EC sport licence holders may receive amateur status issued by EC.
5. Eligible amateurs who are competing on a Temporary Sport licence must complete an *Amateur Affidavit* to enter classes restricted to Amateur riders/drivers.

## **ARTICLE A904 DRESS**

1. A competitor must be correctly dressed for the class entered. Attendants must be neatly dressed.
2. The management may at its discretion bar any entry or person from entering the ring if not suitably presented.
3. Riders/drivers will not be penalized for wearing safety vests.

## **ARTICLE A905 HEADGEAR**

1. At all times, persons mounted or carriage driving at an EC-sanctioned event location must wear approved protective headgear as described below.  
Exceptions: See discipline or breed sport rules:
  - a) Section B – American Saddlebred: B302.2, B405.2, B902
  - b) Section B – Arabian: B1306.7, B1501.22, B1602.3, B1702.3, B1802.3, B1902.5, B2002.4, B2402.4, B2402.6, B2403.3, B2610.3, B3002.9, B3604.5, B3605.2, B3605.5, B3606.2 B3611.2, B3701.4,
  - c) Section B – Hackney: B4611.5, B4804, B4805, B4808,
  - d) Section B – Morgan: B5208.2, B5502.2, B5602.2, B5702.2, B5803.1(b), B5903.1-2, B6003, B6103.4, B6401.5, B6501, B6703
  - e) Section B – Road Horse and Pony: B7106, B7107, 9, B7207
  - f) Section B – Welsh Pony & Cob: B7601, B7604,
  - g) Section C – C102.11
  - h) Section F – Saddle Seat: F2106
  - i) Section K – Reining: K303.1
  - j) Section L – Vaulting: L206
2. Protective headgear must be:
  - a) approved by an accredited certification organization. See Glossary – Headgear Standards;
  - b) properly fitted; and
  - c) securely fastened by a safety harness permanently affixed to the headgear.
3. Any competitor may wear approved protective headgear in any division or class without penalty from the judge.
4. EC makes no representation or warranty, expressed or implied, about any approved protective headgear. EC cautions riders and drivers that serious injury or death may result despite wearing such headgear, as all equestrian sports involve inherent risk, and no protective headgear can protect against all foreseeable injury.

## **ARTICLE A906 USE OF WHIPS**

1. No item may be used inside or outside the ring other than one whip per handler, except in harness classes and as noted in discipline/breed sport rules.
2. The use of a whip with any attachment other than the originally manufactured lash, is not permitted in the competition arena(s) or schooling areas, except as noted in discipline/breed sport rules.
3. Lunge whips are permissible for lungeing horses.

## **ARTICLE A907 ELECTRONIC COMMUNICATION DEVICES**

The use of personal electronic communication devices by a competitor or trainer while in the ring being judged or during ribbon presentation is forbidden under penalty of elimination. The use of cellular phones or other similar communication devices, including computers, by judges while in the ring (including center ring), judges' box, judges' stand or on any part of a course during competition is strictly prohibited except when judges are discharging their official duties.

**Exception:** Competitors with a documented physical disability/hearing impairment, or who have an EC Para-Dressage Dispensation Certificate or the EC Classification Master List that authorizes the use of a communication device. (See also Article A214).

## **ARTICLE A908 PERMISSION TO COMPETE IN FOREIGN COUNTRIES**

1. Individuals wishing to compete in foreign countries that require authorization from the competitor's national federation must receive permission from EC. It is the right of EC to authorize or deny applications.
2. National competitions sanctioned by foreign federations: applications for permission to compete must be made in writing to EC and include the following information:
  - a) that the individuals are Canadian citizens and hold a valid EC Gold Sport Licence;
  - b) whether they compete as amateurs or professionals;
  - c) whether they wish to compete in national or international competitions; and
  - d) the disciplines in which the applicant wishes to compete: Jumping, Dressage, Driving, Eventing, Vaulting, Endurance, Reining or Para-Equestrian.
3. All FEI-sanctioned competitions; individuals wishing to compete in foreign international competitions must apply to EC on an individual basis for each international competition they wish to enter and provide the following information:
  - a) the name and date of the particular competition and the classes or divisions to be entered;
  - b) the names of the horses to be ridden;
  - c) Passport numbers where applicable; and
  - d) Eventing competitors must provide a copy of the completed entry form which must include qualifications.
4. Applications will be considered based upon competitive results, experience of horse and rider/driver, and competition space available.
5. Competitors should be aware that some countries now require foreign competitors to purchase licences to compete.

6. Competitors who are competing in Canada but who are not Canadian citizens must have permission from their national federation to compete in FEI-sanctioned events in Canada. See discipline/breed sport rules. **Exception:** USEF members are exempt. For further information, contact EC.
7. Canadians living and competing abroad must be officially registered with EC as competitors domiciled abroad. Refer to FEI rules for further information.

## **CHAPTER 10**

### **EQUINE MEDICATION CONTROL**

#### **ARTICLE A1001 INTRODUCTION**

1. The rules to control equine medications as set out herein are intended to guarantee fairness to all participants in events recognized and sanctioned by EC, to ensure the welfare of horses and to maintain public confidence in the fairness of EC-sanctioned competitions.
2. EC sport licence holders competing in other countries should be aware that equine medication control rules in other jurisdictions may differ significantly from the equine medication rules in this chapter. These Equine Medication Rules are unique to Canada and will apply to all competitions in Canada sanctioned by EC.
3. Veterinarians retained by Persons Responsible are advised to consult these equine medication control rules and the Schedule of Drugs available from the Canadian Pari-Mutuel Agency (Agriculture and Agri-Food Canada) for guidance on the administration of medication and drugs to horses competing at EC-sanctioned competitions. The elimination guidelines set out in the Schedule of Drugs may not apply to compounded products. In addition, take note of all warnings and explanatory notes contained in the Schedule of Drugs.
4. For the purposes of Chapter 10 Equine Medication Control the following words have the following meaning:
  - a) “drug” means any substance as defined in the Canadian Pari-Mutual Agency (CPMA) Schedule of Drugs; <https://laws-lois.justice.gc.ca/eng/regulations/SOR-91-365/page-16.html>;
  - b) “horse” includes horse, pony, mule and donkey;
  - c) “official chemist” means a chemist who is designated by EC;
  - d) “official laboratory” means a federally approved laboratory that is designated by EC;
  - e) “official sample” means a sample of blood, urine or other bodily substance that is collected from a horse and sent to the official laboratory.
  - f) “person(s) responsible (PR)” see Glossary.
  - g) “hearing panel (Panel)” a panel formed by EC consisting of three qualified persons who will conduct the hearing.
  - h) “witness” An individual who witnesses the collection process and/or an individual who attends a hearing on behalf of a party to the hearing.

#### **ARTICLE A1002 ENFORCEMENT**

1. The equine medication control rules will be enforced by the Equestrian Canada Equine Medication Control Committee or its designate.
2. In case of dispute over the interpretation of these equine medication control rules not involving a hearing or an appeal, the decision of the Chair of the Equestrian Canada Equine Medication Committee shall be final.



## ARTICLE A1003 PERMITTED MEDICATIONS

Permitted Medications may be present in a horse during an EC-sanctioned competition, except Endurance competitions (refer to A1003.4).

1. Permitted medications are the following:
  - a) non-steroidal anti-inflammatory drugs (NSAIDs) approved for use in Canada for horses: flunixin meglumine, ketoprofen, phenylbutazone or acetylsalicylic acid, subject to the restrictions below.
  - b) Firocoxib (by exception to 1a)
  - c) pergolide;
  - d) the anti-ulcer medications: cimetidine, ranitidine, sucralfate or omeprazole.
  - e) altrenogest (for mares only)
  - f) antimicrobials (antibiotics and antiprotozoals)  
Exception: procaine penicillin G
  - g) antiparasitic products (dewormers)  
Exception: levamisole and tetramisole
  - h) hyaluronic acid, chondroitin sulfate, glucosamine, pentosan, and polysulfated glycosaminoglycans (Adequan) (Please note: the above cannot be given by intra-articular injections during competition, please see Article A1010.1)
  - i) cyclosporin
  - j) misoprostol
  - k) IV rehydration fluids; within the guidelines of the Prohibited Practice
  - l) Vitamins

### *Prohibited Practice*

The administration of any medication or substance by injection to a horse (including NSAIDs) is not permitted before competition on the day in which the horse competes, other than IV rehydration fluids and antimicrobials (exception: procaine penicillin G is prohibited) administered by a licensed veterinarian more than 6 hours prior to the start time of the class. By exception, horses scheduled to compete in a class starting after 6 pm can be treated by injection up until 10 am on the day they compete.

**Note:** The elimination guidelines published for the EC Medication Control program still apply. Both the elimination guidelines and prohibited practices described above must be adhered to.

2. Only one non-steroidal anti-inflammatory drug may be administered. If more than one non-steroidal anti-inflammatory drug is found in any sample, the test will be deemed positive. Samples found to contain a permitted medication may be subjected to quantitative testing.
  - a) In case of a medical emergency requiring the administration of a different NSAID (e.g. flunixin for colic), an Emergency Medication Report Form must be filled out and submitted in accordance with the form's directives (Article A1005).
3. Restrictions relating to permitted medication concentrations are as follows:
  - a) the maximum permissible plasma or serum concentration of flunixin is 1.0 microgram per milliliter;
  - b) the maximum permissible plasma or serum concentration of phenylbutazone is 15.0 micrograms per milliliter;
  - c) the maximum permissible plasma or serum concentration of ketoprofen is 0.25 microgram per milliliter; and

- d) the maximum permissible plasma or serum concentration of firocoxib is 240 nanograms per milliliter.
4. Endurance horses may only compete with the permitted medications listed in sub-paragraphs D through L in section A, Chapter 10 – Article 1003 Permitted Medications.  
Endurance horses may not compete with non-steroidal anti-inflammatory drugs (NSAIDs).

***Prohibited Practice for Endurance:***

The administration of any medication or substance by injection, including intravenous (IV) and naso-gastric fluids, is not permitted within 12 hours before the start of the endurance ride, or between any phases of the competition. Intravenous (IV) administration may only be performed by a licensed veterinarian.

**ARTICLE A1004 DRUGS**

1. A “drug” means any substance as defined in the Canadian Pari-Mutual Agency (CPMA) Schedule of Drugs;  
<https://laws-lois.justice.gc.ca/eng/regulations/SOR-91-365/page-16.html>.
2. Persons Responsible, veterinarians, owners, trainers and riders are cautioned against the use of medicinal preparations, tonics, pastes, feeds, supplements, nutraceuticals or herbal products of any kind, the ingredients and quantitative analysis of which are not specifically known, as they may contain a drug, the use of which in competition is not permitted.

**ARTICLE A1005 EMERGENCY VETERINARY TREATMENT**

1. The purpose of this article on emergency therapeutic veterinary treatment is to allow the Person(s) responsible to self-disclose to Equestrian Canada, in advance of competing, that a horse, because of acute illness or injury, required immediate treatment with a prohibited drug which may not have cleared in time for competition. Continuing the horse in competition must not be detrimental to the overall welfare of the horse nor accelerate the disease process and horses must not compete for 24 hours following treatment. To prevent abuse of emergency therapeutic treatment, equine medication control may be specifically targeted at horses mentioned in any Emergency Medication Report.
  - a) By exception to the time listed in Article A1005.1, and EC General Regulations, Section A, Chapter 10 Article 1005.3 (b), a horse that has been treated with a single dose of dexamethasone, up to a maximum of 10mg, by a licenced veterinarian for an acute allergic reaction such as hives/urticaria must be withdrawn from competition for a minimum of 12 hours following administration. An emergency medication report form must be completed and given to the steward. Permitted ONCE per competition. Subsequent administration of dexamethasone requires 24 hour withdrawal.
2. The filing of an *Emergency Medication Report* is not an automatic defense to any subsequent certificate of positive analysis and/or an allegation by the Equestrian Canada Equine Medication Control Committee or its designate that there has been a violation of the equine medication rules. If the equine medication control result from an official sample collected from a horse treated as indicated on the Emergency Medication Report shows the presence of a drug, the Equestrian Canada Equine Medication Control Committee or its designate

will fully investigate the matter and will review the nature of the alleged acute illness or injury, any relevant earlier filed Emergency Medication Reports, the therapeutic treatment administered and the drug detected in the official sample. In its sole discretion the Equestrian Canada Medication Control Committee may decide to take no further action, issue a warning or hold a hearing to determine if an Equine Medication Rule was violated. If a hearing is conducted, the information contained in the Emergency Medication Report and the veterinarian's medical records together with any other relevant information will be considered to determine whether there has been a violation of the equine medication rules.

3. A horse exhibiting at an EC-sanctioned competition that receives any drug other than as listed in A1003 is not eligible for competition and will be found in violation of the equine medication rules unless the Person(s) responsible can demonstrate that the following requirements in connection with an *Emergency Medication Report* have been fully satisfied:
  - a) the drug administered must be therapeutic and necessary for the treatment of an acute illness or injury. Administration of a drug for such purposes as shipping or clipping or for elective procedures such as dentistry is not considered acute or therapeutic;
  - b) the horse must in every instance be withdrawn from competition for a period of not less than 24 hours after the last administration of a drug; and
  - c) the drug must be administered by a licenced veterinarian.
4. At all levels of EC-sanctioned competitions the Person(s) responsible must use the current version of the EC *Emergency Medication Report* form. The EC *Emergency Medication Report* form must be signed by the licenced veterinarian responsible for treating the horse and filed with the steward prior to the horse's competition commencing and:
  - a) within one hour after administration of the drug or medication; or
  - b) within one hour after the steward returns to duty (if the drug or medication is administered at a time other than during competition hours); or
  - c) within one hour after arrival on the grounds (if the administration of the drug or medication is at a time before arrival on the grounds).
5. The following facts must be furnished in the Emergency Medication Report:
  - a) horse's name, age, gender, colour, weight, whether it is a horse or pony, horse recording number and entry numbers;
  - b) name of Person(s) responsible who signed the entry form and owner's name;
  - c) product and generic name of the drug, amount administered, route of administration (oral, intravenous, topical, intramuscular, subcutaneous, inhalation) date(s) of administration, time of last administration;
  - d) detailed diagnosis and reason for administration; and
  - e) name (printed) and signature of veterinarian administering the drug, as well as a phone number and email address, or the Online Emergency Equine Medication Veterinary Declaration must be submitted by the veterinarian.
6. The Steward will:
  - a) record the date and time of receipt on the Emergency Medication Report;
  - b) note the name, location and dates of the show on the Emergency Medication Report;

- c) note whether the horse continued to compete or was withdrawn from the competition;
  - d) sign the Emergency Medication Report (name also to be printed)
  - e) add any comments, in writing; and
  - f) retain one copy and forward the Emergency Medication Report to the Equestrian Canada Equine Medication Committee (c/o Equestrian Canada) no later than 14 days after the conclusion of the event or competition.
7. The official Emergency Medication Report Form is available from the officiating Steward at the competition. The form is also available on the EC web site and may be downloaded.
  8. All required information must be included when filing the *Emergency Medication Report*. Failure to strictly comply with the provisions of this Article may result in a violation of the equine medication rules. The Steward must report violations of this Article to EC for such further action as may be deemed appropriate.

#### ARTICLE A1006 EXAMINATION, SAMPLE COLLECTION AND TESTING

1. To ensure that horses competing in EC-sanctioned competitions are not in breach of the equine medication control rules, the Chair of the Equestrian Canada Equine Medication Control Committee or its designate in each province or the Chair's delegate shall appoint an Equine Medication Control Technician (EMCT) to obtain official samples of urine from selected horses. The Chair, the Chair's delegate for an Equestrian Canada official officiating at the competition may also appoint a licenced veterinarian to examine horses participating at EC-sanctioned competitions. This veterinarian's examination may include a physical examination, the collection of official samples or any other test or procedure
2. On the recommendation of the chair or the chair's delegate or an EC official officiating at the competition, the technician or the licenced veterinarian may select for sample collection and testing any horse entered in any class at an EC-sanctioned competition, including any horse withdrawn by a competitor within 24 hours prior to a class for which it had been entered, while the horse is on the competition grounds. This selection may include a horse that experiences any adverse drug reaction (see 517.3).
3. The targeted or random selection of competitions for equine medication control shall be made prior to the competition by the Equestrian Canada Equine Medication Control Committee or it's designate. The targeted or random selection of classes and horses for equine medication control shall be made at the competition by the Equine Medication Control Technician. In addition, horses may be specifically selected for targeted testing at a competition at the discretion of the Chair, the Chair's delegate or an Equestrian Canada official officiating at the competition so long as any such targeted testing of a horse at a competition is not used for any purpose other than legitimate equine medication control. Horses on competition grounds which stagger, collapse, die **or are euthanized** at the site of, or during competition, **where possible, are required** to undergo medication control.
4. When a horse is selected to undergo sample collection and equine medication control, the competitor or groom or the personal responsible shall be notified by the technician and/or licenced veterinarian that the horse has been selected for

testing. The competitor and/or the Person(s) responsible shall accompany or select a representative (i.e. groom) to accompany the horse to the official sample collection area

The Person(s) responsible, competitor or the representative, must witness the collection of the official sample, witness the sealing of the official sample container and sign all the documentation provided by the EMCT and/or licenced veterinarian.

The Person(s) responsible, competitor or the representative, must witness the collection of the official sample, witness the sealing of the official sample container and sign all the documentation provided by the technician and/or licenced veterinarian.

When the competitor is a Junior, the competitor cannot be the witness. However, the witness may be a parent/guardian who is not an EC or USEF member. In every case, the witness must be an Adult who is at least 18 years old as of January 1 of the current calendar year. If the person(s) responsible, the competitor or a representative, fails or refuses to witness the sample collection, this shall constitute a waiver of any objection to the identification of any horse tested and the manner in which the official sample was collected, sealed and shipped to the official laboratory.

5. Failure to submit a selected horse for examination, sample collection and drug testing or failure to cooperate with the Equestrian Canada Equine Medication Control Committee or its designate or their appointed representatives shall itself constitute a violation of the equine medication rules and may make the Person(s) responsible subject to the penalties under Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions. This will be determined at a hearing conducted for this purpose.
6. The official sample testing and analysis shall be governed by procedures approved by Agriculture and Agri-Food Canada. Any and all tests and analysis, whether general screening, quantitative or qualitative, that are deemed appropriate by the official chemist may be performed on urine samples collected by a technician and/or blood samples collected by a licenced veterinarian. The testing and analysis of all official samples must be carried out by an official laboratory.
7. On completion of the testing and analysis of an official sample, an official chemist shall classify the official sample as positive and issue a certificate of positive analysis if he or she has determined that:
  - a) with respect to a drug set out in Part 1 of the Drug Classification Scheme, the drug is present in the official sample;
  - b) with respect to a drug set out in Part 2 of the Drug Classification Scheme, the drug is present in the official sample in a concentration that exceeds the quantitative limit set out for the drug in that part.

#### **ARTICLE A1007 DRUG TESTING FACILITIES – COMPETITION RESPONSIBILITY**

Each EC-sanctioned competition must provide, to the satisfaction of the Chair or the Chair's delegate of the Equestrian Canada Equine Medication Control Committee or its designate, suitable facilities (e.g. stall) designated and used for the purpose of examinations and official sample collection procedures by the start of competition. Failure to provide suitable facilities may result in disciplinary action against the

competition manager and/or the competition, as listed in the *Schedule of Fines and Penalties*.

#### **ARTICLE A1008 DRUG TESTING FEES – COMPETITION RESPONSIBILITY**

1. All EC-sanctioned competitions are required to collect a prescribed drug testing fee for each horse entered. All fees collected are to be remitted using the Equestrian Canada Form to the Equestrian Canada Equine Medication Control Committee or its designate as per the Competition Administration Policy. This fee is to be identified on the entry form as a stand-alone item and not bundled or combined with other fees.
2. At the end of a competition, the organizing committee shall, within 14 days, forward to the Equestrian Canada Equine Medication Control Committee or its designate per the Competitions Administration Policy monies representing the number of horses entered in the competition, such monies to be held by the Equestrian Canada Equine Medication Control Committee or its designate in a separate fund for use in equine medication control and to support the activities of the Equestrian Canada Medication Control Committee or its designate.
3. If these funds are not sent to Equestrian Canada or its designate within 14 days of the competition, such competition shall be subject to fines as listed in the current EC *Schedule of Fines and Penalties*.

#### **ARTICLE A1009 EXCEPTIONS**

The following are exempt from drug fees and testing:

1. Horses on competition grounds not entered in competition are exempt from random testing but could be subject to target testing under General Regulations Chapter 10.

#### **ARTICLE A1010 VIOLATIONS**

1. No person shall:
  - a) administer or permit the administration of a drug to a horse that is entered in an EC-sanctioned competition in such a manner that a certificate of positive analysis would be issued under section A1006.7 with respect to that horse;
  - b) tamper with a horse before, during or after an EC-sanctioned competition in such a manner as to interfere with the collection or analysis of an official sample;
  - c) unless otherwise permitted by the technician or licenced veterinarian conducting the official sample collection, administer anything except drinking water to a horse that has been selected to undergo a drug test pursuant to Article A1006;
  - d) interfere with the collection or analysis of an official sample;
  - e) substitute another horse for any horse that has been selected to undergo a drug test pursuant to Article A1006; or
  - f) substitute or misrepresent the contents of an official sample container;
  - g) be in possession of any of the following drugs (i) injectable magnesium, (ii) gamma amino butyric acid (GABA) or (iii) hydroxy-gamma butyric acid (Hydroxy-GABA) at an EC-sanctioned competition;
  - h) administer or permit the administration by any means to a horse entered in an EC-sanctioned competition any of the following drugs (i) injectable

- magnesium, (ii) gamma amino butyric acid (GABA) or (iii) hydroxy-gamma butyric acid (Hydroxy-GABA); or
- i) refuse the request of an on duty EC licenced official to provide for independent inspection and testing the equipment and materials used for the injection of a horse at an EC sanctioned competition.
  2. Should the analysis of an official sample taken from a horse competing in an EC- sanctioned competition result in a certificate of positive analysis being issued by the official laboratory this will be prima facie evidence that a drug has been administered to the horse and shall be a violation of equine medication rules.
  3. When notice of a certificate of positive analysis is received from an official laboratory, the Equestrian Canada Equine Medication Control Committee will determine the nature and category of the offense. The EC-EMCC will offer the person(s) responsible the option to accept an administrative penalty or to hold a hearing in accordance with Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions and the *Schedule of Fines and Penalties*.
  4. The Person(s) responsible found pursuant to A1011 to be responsible and accountable for a horse whose official sample resulted in a certificate of positive analysis shall be required to forfeit all winnings at the competition in question by said horse, and the same shall be redistributed in consequence. In addition, the Person(s) responsible shall be subject to the penalties in accordance with the *Schedule of Fines and Penalties* or in the case of a hearing, as determined by the Hearing Panel in accordance with the *Schedule of Fines and Penalties*. Any suspension imposed on the Person(s) responsible may be served at any time at the discretion of the Hearing Panel. In addition, the horse may be suspended for any period of time specified by the Hearing Panel. The Person(s) responsible and/or the horse shall be placed in not-in-good standing for the duration of their suspensions and until all fines are paid in full.
  5. If any person who is subject to these equine medication rules administers, instructs, aids, conspires with another to administer, or employs anyone who administers or attempts to administer a drug to a horse who is competing at a competition sanctioned by EC in such a manner that a certificate of positive analysis would be issued under section A1006.7 with respect to that horse, the penalties for such actions may be the same as the penalties that may be imposed on the Person(s) responsible.
  6. If an on duty EC licenced official (i.e. steward, judge, technical delegate) personally witnesses any person injecting or attempting to inject a horse at an EC sanctioned competition the licenced official must inform the person that he or she was seen injecting or attempting to inject the horse and the licenced official may then request of that person that all the injection equipment (needles and syringes) be turned over and provided to the licenced official for independent inspection and testing to determine whether any of the following drugs: (i) injectable magnesium, (ii) Gamma amino butyric acid (GABA) or (iii) Hydroxy-gamma butyric acid (Hydroxy-GABA) were present in or on the injection equipment. If, after being asked by the licenced official to provide the injection equipment to the licenced official, the person refuses or fails to comply, such conduct will itself result in a violation with the same sanction to be imposed as a positive analysis report for a class two (2) substance of the EC Drug Classification Scheme.

7. No Person(s) responsible will be fined or suspended nor will a horse whose official sample resulted in a certificate of positive analysis be barred from competition until the administrative sanction is accepted or a written ruling has been issued by Equestrian Canada or its designate, including notification, with due discretion, of the organizer of the competition where the infraction occurred.

#### **ARTICLE A1011 PERSON(S) RESPONSIBLE (PR)**

See Glossary for the definition of “Person(s) responsible”.

1. a) The Person(s) responsible (PR), is responsible and accountable under the violation and penalty provisions of these EC Rules for the care, training, custody and performance of the horse. The PR may avoid liability under this clause only if the PR can demonstrate on the basis of substantial and credible evidence that he or she did not know or suspect and could not reasonably have known or suspected even with the exercise of the utmost caution, that a horse for whom the PR is responsible and accountable was in breach of any EC Rule and, in addition:
    - (i) for the condition of the horse;
    - (ii) to guard each horse at and sufficiently prior to an EC-sanctioned competition such as to prevent the administration by anyone of, or its exposure to, any drug which results in a certificate of positive analysis;
    - (iii) to not enter a horse in a competition or allow a horse to be entered in a competition if that horse has been administered with a drug which results in a certificate of positive analysis; and
    - iv) to know all the provisions of this Chapter 10 and all other rules of EC including the violation and penalty provisions of said rules.To be responsible and accountable for the care, training, custody and performance of the horse together with the provisions in (i) to (iv) inclusive are the “duties” of the Person(s) responsible;
  - b) for the purposes of these Rules, substantial evidence means affirmative evidence of such a clear and definitive nature as to establish that the Person(s) responsible was:
    - (i) not responsible or accountable for his or her duties; and
    - (ii) bears absolutely no fault or negligence for the issuance of a certificate of positive analysis and the failure to perform his or her duties;
  - c) Persons Responsible who are prevented from performing their duties by reason of illness or other cause, or who must be absent from any competition where horses under their care are entered and stabled shall immediately notify the competition secretary and, at the same time, appoint a substitute. Such substitute Person(s) responsible shall place his or her name on the entry form forthwith and thereby shall assume full responsibility for the performance of the duties. Any such substitution does not automatically relieve the original Person(s) responsible of his or her responsibility and accountability for the performance of the duties. One or more Persons Responsible may be found jointly responsible and accountable for the care, training, custody and performance of the horse and for any failure to perform the duties.
2. The Person(s) responsible and the owner acknowledge that the Person(s) responsible represents the owner with respect to the horses entered in the



competition in matters relating to entries, scratches for any reason, equine medication control and any act performed on any horse under the care and custody of the Person(s) responsible.

3. For Platinum competitions please refer to FEI rules.

#### **ARTICLE A1012 PROTESTS**

No competitor may file a protest regarding any alleged administration of a drug to another competitor's entry.

#### **ARTICLE A1013 EQUINE ANTI-DOPING AND CONTROLLED MEDICATION – FEI SANCTIONED COMPETITIONS**

The FEI EADMC rules will apply.

#### **ARTICLE A1014 PUBLIC DISCLOSURE**

All Equine Medication Control hearings, whether documentary or oral are private. Only following the conclusion of a hearing or once the administrative penalty has been accepted, the following information shall be published on the EC website: The name of the person(s) responsible, the horse's name; the name and date of the competition, the drug, the class of violation and the penalty. The information outlined will only be published after the person(s) responsible has been notified by Equestrian Canada or its designate. The information will remain published for three years.

If the Person(s) responsible or any associated or related person makes information concerning a rule violation or an alleged rule violation public prior to the conclusion of the hearing, the acceptance of the administrative penalty and the release of the EC's public report, EC may comment on all such public information.

#### **ARTICLE A1015 JOINT INJECTIONS**

Intrasynovial injections (joint, tendon sheath or bursal) are prohibited within 96 hours of competition.

## CHAPTER 11 MEASUREMENT

### ARTICLE A1101 GENERAL

1. The rules contained in this chapter are the general rules relating to the measurement of the height, and the toe and heel length of horses and ponies.
2. The measurement procedures outlined are common to all classes of horses and ponies.

### ARTICLE A1102 REQUIREMENT FOR HEIGHT MEASUREMENT

1. No horse or pony shall be shown in any performance class where height is a qualifying factor unless it is properly measured at the competition or the owner possesses a *Permanent Measurement Card* or, in the case of a horse or pony under eight years of age, a temporary measurement form.

**Exception:** EC recognizes valid USEF measurement cards for all divisions for American-owned horses or ponies recorded with USEF.

2. For classes where height is a factor, the competition organizing committee must provide for the measurement of all horses or ponies without a *Permanent Measurement Card* or a valid temporary measurement form.

### ARTICLE A1103 PERMANENT MEASUREMENT CARDS

1. Permanent measurement cards are issued for horses or ponies eight years old or over.
2. To obtain a permanent measurement card, a horse or pony must be measured at an EC-sanctioned competition in which it is competing. Blank cards are available from the Participating PTSO or the competition office. Refer to Article A1105, Officials Authorized to Measure Height.
3. For horses or ponies with passports, the Record of Measurement on the back page of the EC passport is to be completed. This constitutes the permanent measurement card.

### ARTICLE A1104 TEMPORARY MEASUREMENT FORMS

Until a horse or pony is eight years of age, a temporary measurement form must be obtained annually. Such forms remain valid for the calendar year. Blank forms can be obtained from the Participating PTSO or the competition secretary. A horse or pony must be measured at an EC-sanctioned competition in which it is competing. Refer to Article A1105, Officials Authorized to Measure Height.

### ARTICLE A1105 OFFICIALS AUTHORIZED TO MEASURE HEIGHT

1. The height of the horse or pony must be measured by any two of the following event or competition officials: veterinarian, steward, technical delegate or judge, none of whom can be the owner of the horse or pony.
2. Both officials must carry out and/or witness the measurement prior to signing the *Permanent Measurement Card* or the *Temporary Measurement Form for horses or ponies under eight*. The officials must ensure that one copy of the temporary form is retained by the owner and that another is sent to Equestrian Canada.
3. The officials authorized to measure horses or ponies must check the measurement devices for accuracy in order to record true measurements;

however no liability shall be attributable to any official involved in any stage of the measurement of a horse or pony.

#### **ARTICLE A1106 DEVICES FOR MEASURING HEIGHT**

1. A standard metal measurement stick or an EC-approved measuring device shall be used for the measurement of all horses and ponies where height is a requirement.
2. A standard metal measurement stick is a straight, stiff, unbendable stick that is equipped with a spirit level to ensure that the crosspiece is parallel to the ground surface.
3. At competitions where ponies are measured, the measurement stick should be checked against a metal tape measure to ensure accuracy.
4. Measurements of height may be expressed in hands, inches, or centimeters. A hand is four inches; fractions of hands are expressed in inches.

#### **ARTICLE A1107 SURFACE FOR MEASURING HEIGHT**

1. The measurement surface must be level. Under no circumstances should horses be measured on dirt or gravel. A concrete slab or other level paved surface is most desirable but when not available at a competition, a sheet of heavy plywood can be used. Otherwise, the measuring officials must ensure that a suitable, alternate surface is used.
2. If such surface is not available, horses without a *Permanent Measurement* or a valid *Temporary Measurement Form* may be measured to compete in that competition only but no measurement form shall be given to the owner or returned to EC.

#### **ARTICLE A1108 POSITION OF HORSE FOR HEIGHT MEASUREMENT**

1. The horse must be sound and stand squarely on all four feet in such a position that the front legs are vertical to the ground and the back of the hocks are in a vertical line with the point of the horse's quarters.
2. The head must be held low enough to reveal the highest point of the withers but no lower.
3. The horse must be free of all appliances and handlers must not interfere with the horse in any way that will prevent it from standing in this position.

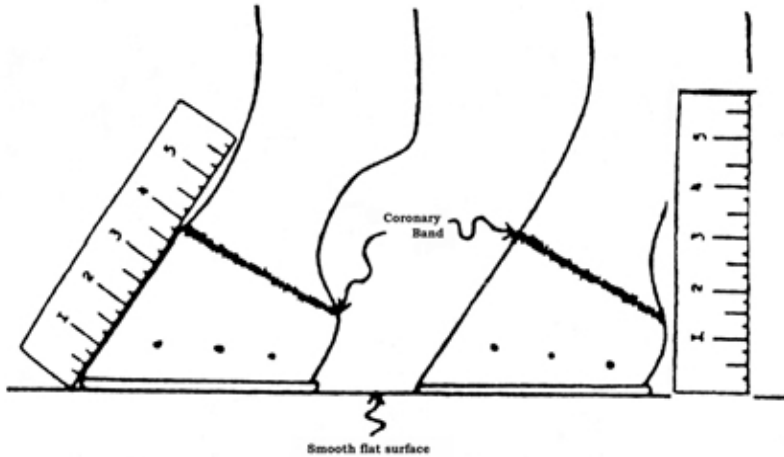
#### **ARTICLE A1109 METHOD OF MEASURING HEIGHT**

1. With the horse in the described position, measure the vertical distance from the highest point of the withers to the ground.
2. Horses are to be measured barefoot or as if barefoot. If the horse is shod, the measurement of the shoe must be deducted from the horse's height unless discipline/breed sport rules specify alternate criteria.
3. The arm or the crosspiece of the measuring device shall be placed over the highest point of the withers and no measurement taken at any other part of the horse's body shall count. Additional pressure must not be applied to the crosspiece or arm on the measuring stick.

## ARTICLE A1110 REQUIREMENT FOR TOE AND HEEL MEASUREMENTS

1. Toe and heel length must be recorded when a horse's height is officially measured.
2. Toe length measurement may also be required for horses competing under certain breed rules.

## ARTICLE A1111 METHOD OF MEASURING TOE AND HEEL



1. With a metal ruler six inches or 15 cm in length, determine the length of the toe by measuring the front of the hoof, in the center, from the skin line on the lower side of the coronary band to the ground.
2. The skin line on the lower side of the coronary band is to be defined by palpation. The thumb should be used to press on the horny hoof wall proceeding from the ground toward the hairline. The first compressible soft tissue palpated is the lower side of the coronary band. The hairline does not necessarily coincide with the lower side of the coronary band.
3. Determine the height of the heel by measuring from the skin line on the lower side of the coronary band to the ground, with the ruler perpendicular to the ground.

## ARTICLE A1112 PROTESTING HEIGHT

1. No protest may be lodged against the height of a horse or pony under the age of eight that possesses a valid temporary measurement form.
2. Any owner, lessee, trainer, coach, rider or driver may file a signed protest in writing to the competition organizing committee, upon payment of a cash deposit as listed in the current EC *Schedule of Fines and Penalties* and subject to EC rules of protest, concerning the height of any one horse or pony eight years or older competing against them in the same class. In accordance with Article A1205.3, the protest fee will be held by the competitions until such time as the protest and any appeals have been resolved.

3. There is a limit to the number of protests that may be filed against the height measurement of any given horse or pony eight years of age or over. That limit is once in any calendar year, to a maximum of three times during that horse's life. **Exception:** For hunter and jumper ponies, see discipline/breed sport rules.
4. It is the duty of the competition organizing committee to ensure that the protested horse is remeasured by any two of the following who must not have taken the measurements being protested:
  - a) Veterinarians;
  - b) Judges;
  - c) Competition steward.
5. The officials responsible for the remeasurement shall take new measurements immediately.
6. If the horse is found to be in violation, it will be disqualified from the competition and all entry fees and winnings for the entire competition shall be forfeited. The competition organizing committee must send a copy of the protest to the Participating PTSO and EC.
7. The decision of the organizing committee may be appealed in writing to the Participating PTSO within 15 days of the measurement. See Article A1115, Appeal of Height Measurement.
8. It is the responsibility of the owner to keep copies of any protest forms regarding height in the passport of the horse.

#### **ARTICLE A1113 CHANGE OF HEIGHT CLASSIFICATION**

1. A horse with a permanent card in a given height classification may never revert to a lower height classification. For example, an "A" hunter pony will always be an "A" hunter pony.
2. If a horse is required to transfer to another division or height classification by virtue of an official remeasurement, its *Permanent Measurement Card* or *Temporary Measurement Form* must be surrendered to the competition steward who shall forward the same to EC with the official steward's report.

#### **ARTICLE A1114 PROTESTING TOE LENGTH**

1. In a class where the length of toe may be an issue, any owner, lessee, trainer, coach, rider or driver may protest the length of toe of any horse competing in a class in which he is competing. Such protest is subject to all EC rules regarding protests (see Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions).
2. If the length of toe of any horse is protested, the competition organizing committee must have the official veterinarian and a judge or steward officiating in the competition measure the toe immediately so that no change can be made by reshoeing.
3. If the limit for length of toe is exceeded, the horse must be disqualified for the balance of the competition and the owner of the horse forfeits all entry fees and winnings including points, ribbons, prizes and trophies for that horse for the entire competition.

## ARTICLE A1115 APPEAL OF HEIGHT MEASUREMENT

1. The owner or trainer of a horse or pony declared ineligible for a division or class because of height may appeal a measurement made at a sanctioned competition, by requesting re-measurement.
2. The requirements for re-measurement are:
  - a) the appeal must be made to EC in writing within 15 days of a measurement taken at a sanctioned competition and must be accompanied by a fee as listed in the current EC *Schedule of Fines and Penalties*;
  - b) all expenses including veterinary fees and drug testing costs shall be paid by the appellant, who shall deposit a fee as listed in the current EC *Schedule of Fines and Penalties* with EC as an advance on such expenses prior to delivery of the horse to the measurement site;
  - c) EC will select a location and time for the re-measurement that is as convenient as possible for all parties concerned;
  - d) the measurement shall be performed by one licenced veterinarian and one steward or judge at an EC competition where the pony is competing; however, the officials cannot have performed the measurement that gave rise to the protest;
  - e) any horse or pony submitted for remeasurement must show no evidence of lameness due to paring of hooves. If found to be lame by the examining veterinarian, the horse or pony may not be re-measured for 30 days, and the appellant shall forfeit both the fee as listed in the current EC *Schedule of Fines and Penalties* and any veterinary expenses incurred;
  - f) horses or ponies being re-measured under the appeal process will be subject to Equine Medication Control testing;
  - g) should the horse be resubmitted after 30 days as permitted in A1115.2.e, the appellant shall pay all expenses including veterinary fees and must post an additional deposit of a fee as listed in the current EC *Schedule of Fines and Penalties* against such expenses;
  - h) the horse shall be barred from competing in the particular height division or classes from which it was declared ineligible until the re-measurement is performed;
  - i) if the appeal is upheld, the *Permanent Measurement Card* must be signed and dated by the measurement officials and cannot be protested again within the same calendar year; and
  - j) if the appeal is not upheld or the appellant fails to submit the horse for re-measurement within 45 days, the horse shall be barred from showing for the balance of the year.

## **CHAPTER 12**

### **GENERAL DISPUTE RESOLUTION AND PROTESTS AT EC-SANCTIONED COMPETITIONS**

#### **ARTICLE A1201 PROTESTS**

This Article applies to Protests arising from and at EC-sanctioned competition. This policy does not apply to:

Disagreements or grievances:

1. relating to the certification or evaluation of coaches, as these are dealt with pursuant to the EC Discipline, Complaints and Appeal Policy;
2. relating to the certification or evaluation of officials, as these are dealt with pursuant to the EC Discipline, Complaints and Appeal Policy;
3. relating to the selection of teams or to the participation by an Athlete on a Canadian Equestrian Team, or arising from the EC Athlete Agreement, as these are dealt with pursuant to the Dispute Resolution Policy for Elite Athletes;
4. relating to complaints as these are dealt with pursuant to the EC Discipline, Complaints and Appeal Policy;
5. relating to Harassment, as this is dealt with pursuant to the EC Harassment Policy;
6. relating to the review of a final decision, as this issue is dealt with pursuant to the EC Discipline, Complaints and Appeal Policy;
7. relating to a matter that is primarily civil or commercial in nature as these are dealt with pursuant to the policies, rules and laws of the appropriate legal or governing authorities; or
8. relating to a matter where the EC rule or policy alleged to have been breached expressly precludes the application of these rules to Protests.
9. relating to challenges of amateur status. (See EC Discipline, Complaints and Appeal Policy).

#### **ARTICLE A1202 LIMITATIONS AND NON-PROTESTABLE DECISIONS**

1. A protest with respect to the height of a horse or the length of a foot may only be made to the Competition Organizing Committee. See Articles A1112, A1114, A1115.
2. The soundness of a horse, when determined by an official veterinarian of the competition, or by a judge, is not subject to protest.
3. Judges' decisions representing their discretion are not subject to Protest unless the exercise of the judges' discretion is alleged to be in violation of the EC rules or policies.

#### **ARTICLE A1203 DEFINITIONS APPLICABLE TO PROTESTS**

1. Protest: A formal process, expressed in writing to the Competition Organizing Committee (OC), to lodge a dispute, disagreement or grievance regarding the conduct of the EC-sanctioned competition or an alleged rule or policy violation on the part of the OC or official(s) at the EC sanctioned competition. See Article A1204, Filing a Protest.
2. Protestor: Person or party making a Protest.
3. Respondent: the OC or official alleged to have breached an EC rule or policy.
4. Affected Party: any EC sport licence holder or registered participant directly affected by the Protest.

5. Licenced competition: a competition that is sanctioned by EC and is governed by EC Rules.
6. Organizing Committee (OC): Committee or Organization responsible for conducting the licenced competitions according to EC Rules.
7. Sanctioned Competitions. See Glossary under “Competitions”.
8. EC: Equestrian Canada.
9. Qualified person: a person with knowledge of the horse industry or other expert such as a veterinarian, medical doctor or lawyer.
10. Days: shall mean total days, irrespective of weekends or holidays
11. Member: refers to a member who is in good standing as defined in the EC bylaws.

#### **ARTICLE A1204 FILING A PROTEST**

1. A protest at an EC sanctioned competition, other than those specific matters covered in discipline/breed sport rules, must comply with the following provisions:
  - a) a protest is eligible only if made by an EC Sport Licence holder (i.e. owner, lessee, trainer, coach, official, competitor or authorized agent) or by any person listed in A212 (Exemptions from Sport Licences) in the division in which the question arises;
  - b) a Protest must be made in writing and addressed to the secretary of the OC at the event which the matter under consideration occurs. Every Protest must be signed and must be accompanied by a deposit of \$100 in cash. (Note that protesting a horse’s height also carries a \$100 cash deposit);
  - c) the OC must send a copy of the Protest (immediately upon receipt) to EC and the applicable Participating PTSO;
  - d) a Protest must contain a specific allegation of a breach of a rule or policy and must give the full name and, if possible, the address of the alleged Respondent;
  - e) the Protestor must be prepared to substantiate the Protest by personal testimony, sworn statements, witnesses’ testimony and other relevant evidence. The Respondent to a Protest must be invited to attend the OC’s hearing.
2. The intended remedy resulting from a successful Protest is the overturning of the decision made by the OC or an official.
3. A Protest may be lodged immediately and must be lodged within 48 hours of completion of the class concerned. See also Eventing and Driving discipline/breed sport rules.
4. The OC must act immediately upon receipt of a Protest.
5. It shall be the duty of the OC that receives a protest to obtain information and evidence from entry forms, witnesses and any other proper sources in order to review and adjudicate the issue impartially. All parties involved must be part of the hearing to consider a Protest.
6. Where the matter is of such a nature as to involve the possible suspension of the competitor or competitor or competitors, it shall be forwarded to EC for referral to the appropriate body for such further action as is deemed necessary.
7. Notices of all hearings shall identify the names of all respondents, all allegations made in the protest, all rules allegedly violated and the maximum penalties for the alleged violation(s).



8. In all cases, a decision in writing shall be served by the OC in person or by registered mail or courier to the last known address of all parties.
9. Appeals of decisions made by an OC may be made to EC in accordance with the EC Discipline, Complaints and Appeal Policy.

#### **ARTICLE A1205 RESPONSIBILITIES OF THE COMPETITION ORGANIZING COMMITTEE**

1. An OC may only take actions that affect its own competition such as disqualifying a competitor from continuing to compete at its competition. See Article A1213.4 for maximum penalties that may be imposed by an OC. If the OC deems that further sanctions are in order, it must send a recommendation to EC or request the Steward to report and recommend further action by EC. See Article A1204.6 regarding possible suspension of a competitor.
2. In the event the OC sustains the Protest, the deposit shall be returned to the protestor by the OC.
3. In the event the protest is not sustained, the deposit shall be forfeited to the competition unless the Protestor decides to appeal the decision of the OC to EC.
4. A full written report of all such hearings must be sent to both the Participating PTSO and EC.

#### **ARTICLE A1206 GENERAL DISPUTE RESOLUTION RULES**

1. This Article applies to all persons responsible (owners, competitors, agents, trainers, managers, riders, drivers, handlers), competition officials, competition employees, volunteers, and members of the family of the foregoing, EC members or any person who acts in any manner contrary to the policies, rules and regulations of EC or in any manner prejudicial to its interests.
2. Complaints lodged against licenced competition officials must be referred to EC for review by the respective Discipline Committee or the breed Officials Committee.
3. Reports of equine abuse must be filed by the Steward using Article A517 and must be attached to the Steward's report and forwarded to Equestrian Canada. Competitions not requiring a Steward must follow the EC guidelines, which are available at EC. For Driving, Endurance and Eventing, see discipline rules.

#### **ARTICLE A1207 VIOLATIONS** *[formerly A1202.14]*

Violations are deemed to be any act prejudicial to the interests of EC, including but not limited to:

- a) violation of EC rules or EC policies;
- b) disqualification by an EC- sanctioned competition;
- c) acting or inciting or permitting any other to act in a manner contrary to the EC rules, or in a manner deemed improper, unethical, dishonest, unsportsmanlike or intemperate, or prejudicial to the best interests of EC;
- d) any act committed or remark made in connection with the competition considered offensive and/or made with intent to influence or cast aspersions on the character or integrity of the judging;
- e) approaching a judge before or after a decision without first obtaining permission of the steward or technical delegate;
- f) inspecting a judge's card without the judge's permission;
- g) physical assault upon a person(s) and/or cruelty to a horse as defined in these rules;

- h) failure to obey any penalty imposed by EC;
- i) competing a horse while in the care, training or custody of a suspended trainer listed at the time of the violation; or
- j) riding, competing, coaching or training for benefit, credit, reputation or satisfaction of a suspended person;

Any violation noted above, or any other which from time to time may be added by EC will constitute a serious breach of rules and may be dealt with directly by the OC.

#### **ARTICLE A1208 VIOLATIONS AT FEI-SANCTIONED COMPETITIONS**

Any disputed matters, protests, complaints or appeals that arise at FEI-sanctioned competitions must be reported by the appropriate FEI officials according to FEI regulations.

#### **ARTICLE A1209 FILING A COMPLAINT**

Refer to the EC Discipline, Complaints and Appeal Policy.

#### **ARTICLE A1210 APPEAL OF COMPETITION ORGANIZING COMMITTEE DECISIONS**

If a party is dissatisfied with the decision of the OC, that party may appeal the decision to EC in accordance with the EC Discipline, Complaints and Appeal Policy.

#### **ARTICLE A1211 APPEALS**

See EC Discipline, Complaints and Appeal Policy.

#### **ARTICLE A1212 EQUINE DRUG AND MEDICATION PENALTIES**

Refer to Chapter 10 – Equine Medication Control and the EC Schedule of Fines and Penalties.

#### **ARTICLE A1213 PENALTIES**

1. In all circumstances the relevant Hearing Panel must ensure that the penalties imposed are meaningful and proportionate to the violation. These penalties may include, but are not limited to, the following:
  - a) suspension of a person for any period of time from showing or having others show or compete for them;
  - b) suspension for any period of time of the horse(s), completely or partly owned, leased, and all of the stable of such person, unless the Board of Directors shall remove the suspension of said horse(s) upon the grounds that a sale thereof has been made to another person and in such a manner as to convince it that such sale was a bona fide transaction and not one made with the intention of, or for the purpose of, relieving the suspended owner of the penalties otherwise imposed;
  - c) suspension for any period of time of anyone hired, retained or employed to show or compete and who as such, or as a volunteer, shows or competes any horse(s) for the benefit, credit, reputation or satisfaction of the person disciplined; or
  - d) monetary fines.
2. With the exception of disqualification or suspension, no penalty is to take effect until 15 days after the date of effective service of the decision in writing. Suspension is to be served at any time at the discretion of the Hearing Panel.

3.
  - a) if an appeal is filed, any penalties imposed by the OC will be suspended until the decision is rendered by the Appeal Panel;
  - b) if an appeal is unsuccessful, suspensions will be effective from the initial suspension date and any competitions entered in the meantime will be considered violations of the suspension order so that prizes, monies won and points earned must be returned to the said shows within 30 days or further sanctions will result, including a possible lifetime EC competitions ban.
4. The maximum penalties that may be imposed by an OC are:
  - a) disqualification from that competition; and
  - b) fines of up to \$1,000 for violations occurring during that competition.
5. The maximum penalties that may be imposed by National Hearing Panel other than for equine medication control violations may include any or all of the following:
  - a) fines of up to \$5,000 per individual charged and costs, which are predetermined to be \$1,500;
  - b) costs, which are awarded at the discretion of the Hearing Panel;
  - c) suspension of an individual for a period of one year;
  - d) suspension of a horse or horses for a period of one year;
  - e) suspension for any period of time up to one year of anyone hired, retained or employed to compete on behalf of a suspended individual

## CHAPTER 13 OFFICIALS

### ARTICLE A1301 GENERAL RULES PERTAINING TO OFFICIALS

1. EC officials' cards are non-transferable.
2. Only EC-licensed officials and recognized officials from other national federations and associations may officiate at EC-sanctioned competitions. For interpretations and exceptions, contact the relevant discipline department at EC.
3. All officials, after accepting and signing a commitment to officiate at a competition, should make every reasonable effort to officiate at that competition. If they are unable to do so, they must allow competition management maximum time to find a suitable replacement and, whenever possible, assist them in doing so.
4. Officials shall notify EC of competitions that do not honour a written contract between the official and the competition. EC will contact the competition on behalf of the official.
5. Except as noted in discipline/breed sport rules, remuneration and expenses to officials may be paid and received without in any way affecting the amateur status of the recipient as an owner or competitor.
6. All officials must be appropriately dressed while on duty. Jeans, short shorts, tank tops, etc. are not acceptable.
7. Any official who officiates at a competition which has been suspended or expelled by Equestrian Canada shall be barred from officiating at future EC-sanctioned competitions for a period of time to be determined by the appropriate officials committee.
8. Unless permitted by discipline / breed sport rules, no EC licensed official may officiate at any competition in Canada which is not sanctioned by EC.  
**Exceptions:** EC officials may officiate at non-sanctioned competitions:
  - (i) restricted to members of the Canadian Pony Club; or
  - (ii) where permitted by discipline/breed sport rules.
9. The list of officials to serve at sanctioned competitions must be approved through the competition application procedure outlined in Competition Administration Policy.
10. Officials for competitions conducted under Section C Driving Rules must be selected from the EC Driving directory or be granted a Guest Card under Article C115—Guest Cards.

### ARTICLE A1302 OFFICIALS – COMPLAINTS AND HEARINGS

1. All violations by officials and complaints against officials are subject to the regulations governing violations, hearings, penalties and appeals, Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions.
2. Officials acting in an official capacity who do not conduct themselves in the prescribed manner may be subject to an official complaint, which may be filed according to the EC rules concerning complaints. See Chapter 12 - General Dispute Resolution and Protests at EC-sanctioned Competitions for additional information.
3. Officials who, without due cause, fail to attend a competition or to perform their duties in accordance with the rules shall be subject to disciplinary action as described in these rules.

4. Any person whose application for enrolment, renewal or promotion has been denied may appeal the decision to the EC Appeals Panel. The appeal must be:
  - a) made in writing;
  - b) mailed to the EC Appeals Panel within 21 days from receipt of the decision sought to be reviewed; and
  - c) accompanied by a cheque for fee as listed in the current EC fee schedule payable to EC which will be refunded if the original decision is reversed.See EC Discipline, Complaints and Appeal Policy.
5. With respect to complaints that officials make against competitors, see Article A1209 Filing a Complaint.

#### **ARTICLE A1303 EC DISCIPLINES/BREEDS OFFICIALS COMMITTEES**

1. EC will act upon every completed application for enrolment, annual renewal, promotion and classification for all officials.
2. EC may send out questionnaires concerning the applicant to all such persons as it may select and/or to persons whose names have been submitted as references by the applicant.
3. EC will review all applications, questionnaires, competition reports and any letters or other relevant information submitted regarding the applicant.
4. EC shall ensure that all proceedings and files of the committee are kept in strictest confidence and forwarded to EC following the rendering of a committee decision.
5. EC will forward all decisions concerning applications to the applicant.
6. Subject to the EC Discipline, Complaints and Appeal Policy EC may, at their discretion, refuse to renew a licence of an official at its expiration.
7. A representative of EC shall be present at all hearings and appeals.

#### **ARTICLE A1304 RESTRICTIONS**

1. No official may directly solicit a competition for a senior official's assignment. With respect to recorded officials, see specific discipline/breed sport rules.
2. EC senior judges are not permitted to charge a fee to learner or recorded judges when they are working with them for their judge's cards.
3. For exceptions and interpretations, see specific discipline/breed sport rules.
4. See also Chapter 14, Conflict of Interest Provisions.

#### **ARTICLE A1305 GUEST CARDS**

1. A competition must obtain a guest card for an official, if permitted by discipline/breed sport rules.
2. The competition must submit a Guest Card request, along with the current guest card fee, to Equestrian Canada or its designate. A guest card request shall be reviewed and the competition notified of its status as soon as possible..
3. Guest cards, where permitted by discipline/breed sport rules, may be issued without charge to EC-licenced officials who hold three or more EC senior licences.
4. Organizers in participating provinces may request a guest card, where permitted by discipline/breed sport rules, for a Senior official to judge provincially-sanctioned competitions below Bronze level for the specific purpose of mentoring a non-EC-licenced official. The *Guest Card* application must include the name of the uncertified official to be mentored. Discipline/breed sport committees may limit the number of guest card applications under this rule.

## **ARTICLE A1306 EC-USEF RECIPROCAL AGREEMENT**

The reciprocal agreement between EC and USEF includes provisions for the recognition and suspension of officials. A copy of the agreement may be obtained from EC. Competition organizing committees must be aware of the provisions of this agreement before approaching a USEF official. Not all USEF officials are permitted to officiate at EC competitions.

## **ARTICLE A1307 GENERAL RESPONSIBILITIES OF JUDGES**

1. Judges have a very important duty to perform to:
  - a) the competition at which they are officiating;
  - b) their own conscience;
  - c) every competitor;
  - d) the spectators;
  - e) equestrian sport; and
  - f) Equestrian Canada, which they represent.
2. A judge is obliged to adjudicate each division and class in conformity with EC rules and the specification of the class as it appears in the rules.
3. Judges shall exhibit the highest standard of integrity at all times and ensure that decisions are not to be made upon improper influence.
4. Judges are expected to be proficient in the divisions where they adjudicate and to possess a thorough knowledge of the rules.
5. The decisions of judges constitute solely their individual assessment and not the opinions of or decisions by EC.
6. Judges are not required to give reasons to any competitor for their decisions. A competitor may make inquiry through the steward as to the reasons for any decision. In this case, the steward may request a judge to provide reasons for the decision about which the inquiry has been made.
7. Judges may not be approached with regard to any decision immediately before or during judging. Competitors may not see a judge's score card except with the permission of and/or in the presence of the judge and steward or technical delegate.
8. A judge must order from the ring any unruly or unsafe horse and/or rider or driver or anyone whose actions threaten to endanger other competitors, their entries or the public.
9. The judge shall examine and check for unsoundness or evidence of blood on all horses brought into any class. This is essential regardless of whether or not the competition indicates it is necessary. In the absence of the competition veterinarian, the judge's decision regarding the soundness or sign of abuse (A517(j)) of a horse is final. See Glossary for definition of "Unsoundness". See also discipline/breed sport rules.
10. Except as noted in discipline/breed sport rules, in classes which have a maximum weight for shoes, the judge must immediately weigh any shoe that is cast (with pad but not including nails). In such classes, a judge may not excuse an entry from the ring until satisfied that the entry has not cast a shoe.
11. Judges must be on the grounds at least 20 minutes before their first class.

## **ARTICLE A1308 VIDEO**

1. A judge may request a video replay to clarify a judgment that would result in a major penalty such as elimination and/or disqualification.

2. Judges shall not be required to change a decision following a video replay.
3. Each judge's decision is an individual call and is based upon individual judgment.
4. The use of video equipment by the judge is only permissible if the judge has reason to believe that all entries are being videotaped by an official competition video camera (i.e. a video camera used under written contract with the competition).

#### **ARTICLE A1309 GOALS OF STEWARDING**

The goals of stewarding are to HELP, to PREVENT, and to INTERVENE.

#### **ARTICLE A1310 PURPOSE OF STEWARDING**

1. Stewarding is the supervision at Equestrian Canada events by trained individuals to protect the welfare of the horse and promote fair play.
2. The aim of stewarding is to:
  - a) protect the welfare of the horse;
  - b) assist competitors, other officials and show organizers in interpreting all EC rules;
  - c) act to encourage fair play and good sportsmanship;
  - d) support the Organizing Committee (OC) in the successful running of the event in line with the EC rules, and;
  - e) assist Competition Management to make every effort to provide for a safe competition

#### **ARTICLE A1311 PROCESS OF STEWARDING**

The duties of stewards include, but are not limited to:

1. Stewards HELP by:
  - a) protecting the interests of competitors, officials and competition management;
  - b) checking the prize list and entry form to ensure they conform to EC rules, and completing the prize list approval form;
  - c) submitting suggestions to the organizing committee following the competition;
  - d) supervising and recording "time out" as required; and
  - e) supervising the schooling and warm up areas and reporting any infractions to the organizing committee or, if applicable, to the ground jury.
  - f) Submitting an EC Accident/Injury Report Form to EC when required by A101;
2. Stewards PREVENT problems by:
  - a) adhering to the rules for measurement of horses as set out in Chapter A11 - Measurement as well as in discipline/breed sport rules;
  - b) checking that all schooling areas meet EC requirements; and
  - c) Monitoring the barns and show grounds;
  - d) Barring unmanageable horses or ponies from the schooling rings.
3. Stewards INTERVENE by:
  - a) arranging a meeting with a judge or official veterinarian at the request of a competitor, if the official agrees, and attending said meeting;
  - b) reporting any offence or rule infraction to the organizing committee and recording the infraction on the Steward Report Form; and
  - c) acting on all reports of equine abuse as per EC rules.

- d) issuing Yellow Warning Cards for abuse under A516;
  - e) acting on adverse medication reactions that compromise horse welfare;
  - f) processing shockwave or Emergency Medication Treatment forms;
  - g) seizing items and reporting on persons whom the steward witnesses injecting a horse according to A1010.6
4.
    - a) The competition must have sufficient stewards in attendance to monitor the welfare of horses on the show grounds.
    - b) When a competition operates with two or more rings and classes are held simultaneously, the competition must have sufficient stewards in attendance to adequately monitor all schooling rings. Schooling/ warm up areas which cannot be closely monitored simultaneously MUST have a steward for each area. The additional steward(s) may be recorded. In order to closely monitor areas simultaneously, the steward must be able to see the horses in the area and to intervene in time to prevent abuse or breaches of schooling rules.
    - c) EC competitions must have a minimum of one EC steward present and available when FEI classes are held concurrently with EC classes. The designated FEI stewards cannot also serve as EC stewards when the FEI warm up and classes are running concurrently with EC warm up and classes.
  5. Stewards must be on the grounds a minimum of thirty minutes before the start of the first class (see discipline/breed sport rules).
 

**Exception:** Eventing.
  6. The Technical Delegate shall be responsible for items 1 through 3 above at events where a steward is not employed.

#### ARTICLE A1312 STEWARD'S REPORT

The steward must submit the official *Steward's Report* form to EC within ten days of the competition. Failure to do so constitutes grounds for removal of steward status upon review of the National Stewards Committee. See also discipline/breed sport rules.

#### ARTICLE A1313 STEWARDS – RESTRICTIONS

1. A steward may not officiate at an unsanctioned competition or at a competition not in good standing, with the following exceptions:.
2. :
  - a) A Steward may officiate at events restricted to members of the Canadian Pony Club
  - b) 4H
  - c) Provincially sanctioned competitions.
3. A steward may not act in any other capacity at a competition at which they are stewarding.
4. Note: There is no reciprocal agreement with the USEF covering stewards.



## **ARTICLE A1314 STEWARDS – CATEGORIES**

1. Recorded 1 Steward Privileges:
  - a) a Recorded 1 Steward has the opportunity to gain experience by working with an EC Senior or Senior National Steward as an observer at EC competitions. The applicant must make arrangements to observe at an EC event with the EC steward for that event and with show management;
  - b) a Recorded 1 Steward has observer status only and has no official capacity and no authority to work alone or to perform the duties of a recognized steward;
  - c) a Recorded 1 Steward does not pay an officials fee, but is covered by EC Officials insurance;
  - d) there is no restriction on the length of time that a person can be a Recorded 1 steward; and
  - e) the Recorded 1 Steward is encouraged to work at a variety of competitions with a variety of stewards.
- 2.. Recorded 2 Steward - Privileges
  - a) eligible to officiate alone at Silver or Bronze competitions;
  - b) may officiate with a Senior or Senior National steward at a Gold or Platinum competition; and
  - c) may request permission from the Organizing Committee and Senior steward (who has not been hired or employed by the event) to assist in an official capacity at the event in order to fulfill the experience criteria for promotion to Senior steward status.
- 3.. Senior Steward - Privileges  
Senior Stewards are eligible to officiate alone at any EC sanctioned competition.

## **ARTICLE A1315 STEWARDS – GUEST CARDS**

1. In provinces with a total of eight or fewer active stewards, including both senior and recorded, a competition may apply to the National Stewards Committee for a steward's guest card, providing the following conditions are met:
  - a) the person for whom the guest card is requested must hold a valid EC Gold Sport Licence and be in good standing, and must be approved by a member of the National Stewards Committee;
  - b) an individual may hold a steward guest card only twice in a calendar year, except with permission of the National Stewards' Committee;
  - c) prize list approval must be done by a senior licenced steward; and
  - d) all regular paperwork and reports must be completed by the guest steward.
2. The competition must submit a completed *Guest Card Request* form to EC, along with the current guest card fee.
3. Guest cards will not be issued for stewards under any other circumstances.

## **ARTICLE A1316 OTHER OFFICIALS**

Other licenced officials - for further information, see discipline/breed sport rules.

## **ARTICLE A1317 EQUINE MEDICATION CONTROL TECHNICIAN**

Equine Medication Control Technicians (EMCTs) are responsible for Equine Medication Control at EC-sanctioned and FEI-sanctioned events in Canada. Equine Medication Control Technicians are officials of Equestrian Canada assigned by Equestrian Canada or its designate to administer the national equine medication control program.

1. Training shall be administered by Equestrian Canada or its authorized national equine medication control program designate, and shall take place in accordance with standards established by the Equestrian Canada Equine Medication Control Committee.
2. EMCTs shall discharge their duties in accordance with policies, procedures and standards governing Equestrian Canada Officials, unless otherwise specified.
3. EMCT remuneration levels, performance evaluation and reporting requirements will be determined and regularly reviewed by the Equestrian Canada Equine Medication Control Committee.

## CHAPTER 14 CONFLICT OF INTEREST PROVISIONS

### ARTICLE A1401 GENERAL

1. The purpose of conflict of interest provisions in this Rule Book is to ensure a level playing field for all competitors, and to maintain the integrity and impartiality of competition.
2. Refer also to discipline/breed sport rules, which may differ.

### ARTICLE A1402 OFFICIALS

1. Judges and stewards shall not officiate in any division in which a member of their family or a horse owned by the immediate family is competing, or in which clients and/or their horses are competing.  
**Exception:** Vaulting. See Vaulting Competition Policy.
2. No judge who owns or is employed by an establishment may judge any horse or competitor that boards, is trained or takes instruction at said establishment.
3. A judge may not judge any division where a competitor or competitor is the owner or employee of an establishment at which said judge boards, trains or takes instruction.  
**Exception:** Dressage. See Section E, Dressage discipline rules.
4. Judges may not discuss the purchase or sale or lease of any horse during the continuation of a competition at which they are officiating.
5. Judges may not, the day preceding and during the period of a competition at which they are judging, be the house guests of any person who is exhibiting and/or competing or whose family is exhibiting and/or competing at such competition.
6. Neither competition managers nor members of their families are eligible to serve as judges, stewards, course designers or technical delegates at any competition they are managing. See Glossary for definition of “Competition Manager”.  
**Exception:** For Eventing, please refer to Section D; for Hunter Jumper, please refer to Section G.
7. In addition, the following persons are ineligible to serve as judges or stewards at a recognized competition: the president, chairman, secretary, other officers, committee members, or employees of the competition.
8. Course designers may not compete over any course which they have constructed or designed.  
**Exception:** For Eventing, refer to Section D; for Driving refer to Sections C.
9. Ring masters shall not officiate at any divisions of a competition in which they or any member of their family or any of their clients are competitors.
10. Officials must perform their duties in person on the competition grounds, and may not officiate in absentia. Failure to uphold these requirements will result in: first offence: warning; second offence: suspension of EC Officials’ licence for a period of one year.

### ARTICLE A1403 ENTRIES

1. No horse may be shown before a judge that has been owned, trained or sold by that judge or a member of the judge’s immediate family within a period of three months prior to the start of the competition, excepting a judge that a competition

has had to substitute due to circumstances beyond their control. **Exception:** for Driving refer to Sections C – Conflict of Interest

2. No horse may be shown before a judge that has been leased by that judge unless the lease has terminated at least three months prior to the start of the competition.
3. If judges feel that a conflict of interest exists in relation to a horse or person as per Article A1402 and/or A1403.1 and/or A1403.2, they must advise the steward that they cannot judge that particular horse or person. The entry fees paid for this horse or by/for this person must be refunded and this horse or person may not participate in the division.

#### **ARTICLE A1404 COMPETITORS**

1. A competition manager may not compete at an EC competition that he or she is managing, but there is no such restriction on relatives of members of a competition organizing committee. However, those related to anyone who might be affected by a show management decision must declare their conflict and absent themselves from any discussion regarding that decision.
2. No one may exhibit or compete in a division where they or members of their family are acting as judge or steward.
3. Following the announcement of its judges by a competition, no competitors may show a horse before any of the judges thus designated if they engage in any financial transaction in connection with horses with any of said judges between the date when the competition announces the officials and the completion of the competition, excepting a judge that a competition has had to substitute due to circumstances beyond their control.
4. No person may compete in a class in which equitation, showmanship or horsemanship is a factor before a judge:
  - a) with whom that person or that person's parents or guardians have had financial transactions in connection with the sale, lease or board of a horse within three months of the start of the competition (except stud fees); or
  - b) by whom said person has been privately instructed, coached or tutored with or without pay within three months of the start of the competition.

**Exceptions:** Clinics and seminars. For Driving, refer to Sections C – Conflict of Interest. For Arabian, see Article B1304.8.

## **CHAPTER 15**

### **EC AWARDS & NATIONAL RANKINGS**

#### **ARTICLE A1501 GENERAL**

EC offers awards to horses and riders or drivers who accumulate the highest number of points in their division or classes in a calendar year under the regulations set forth below. EC also calculates points won in select recognized divisions and provides a National Rankings list on the EC website. National Rankings will attribute points won by horses and/or riders or drivers at EC sanctioned competitions nationwide. The purpose of these awards and rankings is to encourage participation at EC-sanctioned competitions.

#### **ARTICLE A1502 ELIGIBLE HORSES AND RIDERS OR DRIVERS**

1. The following horses and/or riders or drivers are eligible:
  - a) horses owned and ridden or driven by EC Sport Licence holders competing in EC sanctioned competitions. Horses owned by an EC corporate or syndicate Sport Licence holders are also eligible;
  - b) horses with registered EC or FEI leases and ridden or driven by EC sport licence holders competing in EC sanctioned competitions. See Article A818, Registered Leases;
  - c) horses owned and ridden or driven by EC Sport Licence holders competing in EC breed sport competitions;
  - d) riders or drivers who are EC Sport Licence holders; or

#### **ARTICLE A1503 ELIGIBLE DIVISIONS AND CLASSES**

1. Competitors will be eligible for EC awards based on the results from classes or divisions determined by discipline/breed sport rules (excluding miscellaneous/additional classes, see Article A503).
2. Points won at regional and national championships, and points won at USEF competitions that are also EC sanctioned competitions, are accumulated toward National Rankings and EC awards.

#### **ARTICLE A1504 VARIATIONS**

1. Where classes are combined, points won will be credited to the division or section in which the horse is eligible, based on the following criteria:
  - a) height of the fence; or
  - b) size of the horse; or
  - c) amateur or junior status of the rider; or
  - d) number of years in competition for the horse; or
  - e) age of the horse.Only those combined classes which consist of National Ranking listed classes will award points toward National Rankings. Combined classes in which National Ranking listed classes and miscellaneous non-point classes are combined, points do not count toward National Rankings.
2. Ownership of a horse may be transferred during the year without affecting the horse's accumulated points, providing the new owner is eligible under EC criteria. It is the responsibility of the new owner to ensure that official notification of the transfer is sent to EC when it is made (see Article A409, Transfer of Passport).

## ARTICLE A1505 CALCULATION OF POINTS

1. Completed competition result forms from eligible competitions shall furnish the sole basis upon which scores are calculated.
2. Equestrian Canada shall not be liable for failure of a competition to file properly completed competition results, nor for errors and omissions in the making thereof, nor responsible beyond the point of accuracy in calculating scores as furnished by the proper authorities of each competition.
3. Points used to determine EC provincial awards will be based on the total prize money actually awarded in divisions rated for EC points (i.e. each breed or discipline such as General Performance, Jumper, Arabian, etc.) offered at a EC sanctioned competition. The total prize money actually awarded at each competition will determine the multiplying factor for that competition or for each division at that competition. The total prize money actually awarded at each competition for all classes (per discipline) will determine the multiplying factor for that competition for National Rankings.
4. Points for the EC awards will be calculated according to the discipline/breed sport rules or following system, subject to exceptions noted in Article A1506: Points for placing in the class (see Article A1505.6) multiplied by the number of horses competing in the class (see Article A1505.7) multiplied by the factor for total prize money actually awarded in EC point classes in each division (see Article A1505.3) offered in the competition.

Example: A horse that places second in a class with 27 horses in a competition that had a total of \$8,500 actually awarded in Arabian classes would earn 945 points, as follows:

5 points (for 2nd place) X 27 horses (in class) X 7 (multiplier) = 945 points

5. Placings are valued at:

First Place	6 points
Second Place	5 points
Third Place	4 points
Fourth Place	3 points
Fifth Place	2 points
Sixth Place	1 point

6. The multiplying factor based on actually awarded prize money in EC point classes is:

<u>Total Division Prize</u>	<u>Money Factor</u>
\$15,001 or more	12
\$12,501 to \$15,000	11
\$10,001 to \$12,500	10
\$9,001 to \$10,000	8
\$8,001 to \$9,000	7
\$7,001 to \$8,000	6
\$5,501 to \$7,000	5
\$4,001 to \$5,500	4
\$2,501 to \$4,000	3
\$1,001 to \$2,500	2
Under \$1,000	1

7. The competition management is responsible for recording the number of horses per class. All counts must be based on the judge's score card or, in the event

that the judge's card does not have a complete count of class entries, either the ring master's or the competition secretary's count will be used.

8. In the event of a tie, duplicate awards must be presented.

#### **ARTICLE A1506 EXCEPTIONS TO THE RULES FOR CALCULATING POINTS**

1. For the calculation of points in the Jumper, Hunter and Hunter Seat Equitation divisions or classes at hunter-jumper competitions, see discipline/breed sport rules.
2. For Dressage, the following multiplication factors apply:
  - Provincial Championships Multiplier of 10
  - Regional Championships Multiplier of 11
  - National Championships Multiplier of 12
3. For calculation of points in General Performance and Western classes, see Section F.

#### **ARTICLE A1507 ANNUAL BRONZE AND SILVER COMPETITION CHAMPIONSHIP AWARDS**

It is the responsibility of the EC to determine whether they will provide awards for these competitions, and if so, what form they will take.

#### **ARTICLE A1508 GENERAL**

EC may offer annual national awards according to conditions established by EC national affiliated organizations and/or national discipline/breed sport committees.

#### **ARTICLE A1509 ELIGIBLE HORSES, RIDERS AND DRIVERS**

1. Horses must be owned or leased under official EC or FEI registered leases by citizens of Canada or landed immigrants. See Article A818, Registered Leases.
2. Riders or drivers must be Canadian citizens or have landed immigrant status.

#### **ARTICLE A1510 CANADIAN CHAMPIONSHIPS – BREED AND DISCIPLINE**

1. Any national breed or discipline that is an EC Committee or National Association Member may obtain approval for a Canadian championship from the EC Board of Directors EC, upon application addressed to the breed or discipline manager at EC.
2. The eligibility for and conditions of such awards will be established by the breed or discipline affiliate.
3. Results will be tabulated by the approved competition or the specific breed or discipline affiliate or committee according to conditions established for the awards. Results will be forwarded to EC and awards will be presented at the EC Annual Convention.

#### **ARTICLE A1511 EQUESTRIAN OF THE YEAR AWARD**

1. Created in 1995 in honour of Dr. George Jacobsen, the EC Equestrian of the Year Award is given to a person who has demonstrated superior sportsmanship, dedication and the pursuit of excellence in equestrian competition during the current year.

2. The award is given annually to the rider or driver who best demonstrates these qualities. The winner will hold the Dr. George Jacobsen Trophy for one year and will retain a plaque.
3. To be nominated, the candidate must hold a valid EC Sport Licence. Some proof of Canadian citizenship or landed immigrant status may be requested. For information on making a nomination, contact EC.

#### **ARTICLE A1512 JUNIOR EQUESTRIAN OF THE YEAR AWARD**

1. Created in 1993 in honour of Gillian Wilson, the EC Junior Equestrian of the Year Award is given to a junior competitor who has made outstanding contributions to equestrian competition and who has exemplified exceptional talent, sportsmanship and dedication to the sport.
2. The award is given annually to the junior rider or driver who best demonstrates these qualities. The winner will hold the Gillian Wilson Trophy for one year, will retain a plaque and will receive \$1,000 to assist in furthering training and education plans.
3. To be eligible for the Gillian Wilson Trophy, candidates must:
  - a) hold a valid EC Sport Licence and Canadian citizenship or landed immigrant status;
  - b) have paid their EC Sport Licence fees at the start of the year before the first competition;
  - c) willingly abide by EC rules;
  - d) be polite to all officials;
  - e) show talent as riders or drivers;
  - f) have shown successfully, not necessarily winning but demonstrating improvement throughout the year;
  - g) accept winning and losing gracefully; and
  - h) show concern for and kindness to their horse or pony at all times.For information on making a nomination, contact EC.

#### **ARTICLE A1513 VOLUNTEER OF THE YEAR AWARD**

1. The Volunteer of the Year Award annually recognizes an outstanding volunteer who has contributed to equestrian sport and Equestrian Canada.
2. Volunteer service may include years of participation, administration, contribution, innovations or the advancement of equestrian through media coverage.
3. The Volunteer of the Year Award is presented at the annual EC Awards Banquet to an individual who has dedicated him/herself to the ideals of Equestrian Canada, who has worked tirelessly for the improvement of Equestrian Canada and who has had a notable impact on the sport.
4. All nominations will be considered under the following criteria, the nominee must:
  - a) be active in the current year;
  - b) be an equestrian volunteer, in any capacity, at the national level; and
  - c) hold a valid EC Sport Licence and have, through their volunteer activities, made a special and significant contribution to equestrian sport.
5. For information on making a nomination, contact EC.



#### **ARTICLE A1514 LIFETIME ACHIEVEMENT AWARD**

The Equestrian Canada Lifetime Achievement Award recognizes an individual whose contributions to the Canadian equestrian (equine) community are considered exceptional by their peers and whose long-term service and dedication have contributed directly to the ongoing growth and development of Canadian equine sport and industry.

1. This prestigious award will be reserved for individuals of the highest exception and therefore will be awarded when warranted and not necessarily awarded on an annual basis.
2. This Award will not be awarded posthumously.

## **APPENDIX A1**

### **POLICY ON HUMAN MEDICATIONS**

#### **BACKGROUND**

In 2015, Equestrian Canada adopted the 2015 Canadian Anti-Doping Program (CADP).

The Canadian Anti-Doping Program requires all Equestrian Canada disciplines to use the Canadian Centre for Ethics in Sport (CCES) exclusively for testing athletes in Canada.

#### **Anti-Doping Policy**

1. Equestrian Canada has adopted the 2015 Canadian Anti-Doping Program (CADP) as its primary domestic anti-doping policy. Administered on behalf of Equestrian Canada by the Canadian Centre for Ethics in Sport (CCES), the 2015 CADP is fully compliant with the 2015 World Anti-Doping Code, International Standards and Guidelines as they may exist from time to time. To view or download the 2015 CADP, please visit <http://cces.ca/files/pdfs/CCES-POLICY-CADP-2015-E.pdf>.
2. In addition, Equestrian Canada, as a member federation of FEI must also be fully compliant with the FEI anti-doping rules. The FEI anti-doping rules may apply to certain members of Equestrian Canada in certain situations. The FEI anti-doping rules are fully compliant with the 2015 World Anti-Doping Code, International Standards and Guidelines as they may exist from time to time. To view or download the FEI anti-doping rules, please visit <http://www.fei.org/content/anti-doping-rules>.
3. In the event of a conflict between other anti-doping policies established by Equestrian Canada and the 2015 CADP and/or the FEI anti-doping rules, the rules of the 2015 CADP or the FEI shall prevail, as applicable.

Every athlete and other person participating in the sport shall reasonably cooperate with the Canadian Centre for Ethics in Sport (CCES) or another anti-doping organization investigating anti-doping rule violations and a failure to do so may be the basis for disciplinary action within the sport.

## **APPENDIX A2 POLICY ON HARASSMENT**

### **EC STATEMENT ON HARASSMENT**

EC is committed to promoting an environment in sport in which the terms and conditions of participation are equitable and non-discriminatory. Each participant has the right to be treated with dignity and respect and to work and play in a milieu conducive to productivity, self-development and performance advancement based upon individual ability. It is the EC's intention to foster a sport environment free of harassment on the basis of race, ancestry, place of origin, colour, ethnic origin, citizenship, religion, sex, sexual orientation, disability, age, marital or family status, or an offence for which a pardon has been granted.

### **SUMMARY**

The objective of EC's Harassment Policy is to create a sport and work environment, which is tolerant and accepting of differences. Diversity is a reality. Sport includes men and women of many races, of varied ethnic origins, who hold different values, observe many religious traditions and have a range of abilities.

While we are all different, we must all work together.

Harassment is destructive. It is a barrier to equal opportunity. It not only affects individuals, it affects organized sport as a whole.

Harassment is against the law.

EC does not tolerate harassment. Creating and maintaining a harassment-free sport and work environment is a responsibility shared by all members.

EC's Harassment Policy is directed toward the protection of individuals from harassment at all times. This includes in the course of their work in sport and also recreational or social activities in off hours where contact between individuals might lead to repercussions adversely affecting working relationships.

The Board of Directors, Committees, coaches, team leaders, athletes, competition organizers, and staff should lead through example and action. They must:

1. know what harassment is and be able to recognize inappropriate behavior;
2. act as role models by treating all members fairly and equitably;
3. not engage in, allow, condone or ignore inappropriate behavior;
4. communicate EC's commitment to a harassment-free sport environment; and
5. respond appropriately to complaints of harassment.

### **HANDLING COMPLAINTS OF HARASSMENT**

The overall objective of EC's Harassment Policy is to create and maintain a harassment-free work and sport environment. To achieve this, individuals to whom this Harassment Policy applies who experience harassment must be encouraged to take action. They must have the confidence that EC will support them by taking complaints seriously and responding in a sensitive, effective and timely manner.

Harassment rarely ceases on its own, silence and inaction are too often interpreted as acceptance or consent, resulting in continued harassment. Those who are victimized by or aware of harassment have a responsibility to report it.

In some cases, harassment is “unintentional” and can be stopped by informing the harasser that the behavior is offensive.

However, for many individuals, confronting the harasser on their own is not an option. They would feel uncomfortable or might place themselves in a threatening or dangerous situation. They must be reassured of the existence of an effective procedure.

The EC Harassment Policy Guidelines set out a formal procedure for receiving, investigating and resolving complaints of harassment. The complete guidelines are available from EC on request.

## GLOSSARY

### **AD**

Advanced

### **ADULT**

Individuals are adults from the beginning of the calendar year in which they reach the age of nineteen. For exceptions, see discipline/breed sport rules.

### **AFFILIATE ORGANIZATIONS**

Canadian equine or equestrian organizations with aims and objectives of national scope may apply to become affiliate members of EC. See Article A211, Affiliate Membership.

### **AGE OF A HORSE**

A horse is considered to be one year old on January 1 following the actual foaling date.

### **AGE OF A PARTICIPANT**

Except as modified by discipline/breed sport rules, a participant is considered to be a certain age until the end of the calendar year in which he or she turns that age. For example, riders remain Junior A until the end of the calendar year in which they turn 18.

### **AGENT**

Any adult or group of adults acting on behalf of an owner or lessee.

### **AM**

Amateur

### **AMATEUR**

For the purposes of these rules, an amateur is an adult Sport Licence holder who is eligible to compete in EC amateur classes as per EC regulations. See Article A902, Amateur Competitors, and specific discipline/breed sport rules.

### **APPEALS**

An appeal may be made against the decision of a competition organizing committee or against the decision of a hearing panel, provincial, territorial or national, regarding a protest or complaint. See Articles A1210 and A1211.

### **“B”**

Basic (Judge or Steward)

### **BOARD/BOARD OF DIRECTORS**

The Board of Directors of Equestrian Canada.

### **BORROWED HORSE**

A horse not owned by the rider competing in a Para-Equestrian Canada class.

**CALENDAR YEAR**

The calendar year starts on January 1 and ends on December 31.

**CANADIAN OLYMPIC COMMITTEE (COC)**

The Canadian Olympic Committee, the governing body for all Olympic disciplines in Canada. EC is a member of the COC.

**CANADIAN PARALYMPIC COMMITTEE (CPC)**

The Canadian Paralympic Committee (CPC) National Paralympic Committee for Canada and is a member of the International Paralympic Committee (IPC). Equestrian Canada is a member of the CPC.

**CAP**

See “Competition Administration Policy”.

**CARDED ATHLETES**

Carded Athletes refers to athletes who are approved for funding and are directly financially support by Sport Canada through the Athlete Assistance Program (AAP). AAP support is referred to as CARDING.

**CATEGORY**

Refers to the eligibility of the athlete defined by age, amateur status, open, etc.

**CDI**

Concours Dressage Internationale

**CDN**

Canadian

**CERTIFIED COACH**

Equestrian Coaches who have completed an evaluation and are certified by EC in partnership with the Coaching Association of Canada. The following designations are available: Instructor, Competition Coach, Competition Coach Specialist, High Performance 1.

**CERTIFIED INSTRUCTOR**

Equestrian Instructors who have completed an evaluation and are certified by EC in partnership with the Coaching Association of Canada.

**CET**

Canadian Equestrian Team

**CHILDREN**

Juniors competing in classes designated as children’s classes. See discipline/breed sport rules for cross-entry restrictions.

**CLASSES**

1. Amateur/Amateur-Owner Class. For adult riders/drivers who hold a valid Sport Licence and EC amateur card or, when competing in their own breed divisions or classes, a valid amateur card from their breed or

discipline affiliate organization. Riders/drivers from other countries must have a valid amateur card from their national federation. In amateur-owner classes, riders/drivers or members of their immediate family must own the horse. See also 13. Owner Classes

2. Canadian-Bred Class. A Canadian-bred class is limited to entries of horses bred and foaled in Canada.
3. EC Point Classes. Classes in which competitors accumulate points at EC competitions towards annual EC awards.
4. Family Class. A class for two or more members of a family.
5. Gentlemen's Class. A class for gentlemen which may be restricted to riders, drivers or handlers who are no longer eligible to compete as juniors, unless otherwise specified in discipline/breed sport rules.
6. Ladies' Class. Class for ladies which may be restricted to riders, drivers or handlers who are no longer eligible to compete as juniors, unless otherwise specified in discipline/breed sport rules.
7. Limit Class. Limit classes are for horses or competitors that have not won six first place ribbons at EC Gold competitions or at USEF competitions in the particular performance classes in which they are being shown excepting winnings in four-in-hands, tandems, teams, unicorns, and pairs, and winnings in combined driving, local, model and breeding classes, unless otherwise specified in discipline/breed sport rules. A limit entry is such from the closing date of entries.
8. Local Class. A class held at an EC-sanctioned competition, which is restricted by geographic area but which is run according to all other EC rules. Local classes are not eligible for national or regional championships nor shall they count towards EC awards. See EC Competition Administration Policy, Local and Miscellaneous/Additional Classes.
9. Maiden Class. A class open to horses or competitors that have not received one first place ribbon at EC Platinum, Gold or Silver competitions or USEF competitions in the particular performance classes in which they are shown. A maiden entry is such until the closing date of entries.
10. Miscellaneous Class. A class which meets the particular requirements of a competition and is beneficial to the area but does not conform to the specifications for any class or division included in these rules. Entries in these classes will not accumulate points towards EC awards. Such classes or divisions must be identified in the prize list as "not rated for EC awards".
11. Novice Class. A novice class is open to horses or competitors that have not won three first place ribbons at EC Platinum, Gold or Silver competitions or USEF competitions in the particular performance classes in which they are shown, unless otherwise specified in discipline/breed sport rules. A novice entry is such until the closing date of entries.

12. **Open Class.** A class that is open to all horses of any age or breed, irrespective of ribbons previously won, and in which there is no qualification for the rider or driver.
13. **Owner Classes.** Open to adult riders/drivers who are owners or members of the owner's immediate family. Leased horses are not eligible and multiple ownership is not permitted unless all owners are members of the same immediate family and members of EC. See discipline/breed sport rules for specific restrictions.
14. **Parent and Child Class.** For a parent and child. The age of the child may be specified. To be judged as a Family or Pair class using specifications in the discipline/breed sport rules.
15. **Restricted Class.** A class in which entries are restricted or limited in any way (i.e. according to money or ribbons won, years of competition, age, etc.)

Note: Classes restricted by geographic area are Local Classes.

**CLASSIFIER** A Para Equestrian Classifier is an individual trained and qualified to administer athlete classification nationally and/or internationally.

### **CLASSIFICATION**

Classification is undertaken to ensure that an Athlete's impairment is relevant to performance on the horse. Classification aims to place athletes into classes or Grades according to how much their impairment impacts on the core determinants of success in the sport. Classification ensures the Competition within each Grade can then be judged on the functional skill of the rider regardless of impairment.

### **CLIENT**

Any person who pays a fee for equestrian and/or equine-related services.

### **COACH**

An adult who instructs and educates riders or drivers. See also CERTIFIED COACH

### **COC**

See "Canadian Olympic Committee".

### **COMPETITION**

1. For the purposes of these rules, the term competition includes all shows, events, horse trials and any other form of equestrian competition that is covered by these rules.
2. **Platinum Competition.** A term for a competition that is operating a Gold EC-sanctioned competition and an FEI sanctioned competition at the same time and venue.
3. **Gold Competition.** This category of competition formerly called a National Competition is subject to the rules set out in the Rules of Equestrian Canada. Points accumulated at EC Gold sanctioned competitions apply towards the EC awards programs.



4. Silver Competition. A category of competition sanctioned by Equestrian Canada, organized and named by the province subject to the rules set out in the Rule Book. Competitors in this category of competition are not eligible to accumulate points for annual EC championship awards. Provinces may establish their own awards program for these competitions.
5. Bronze Competition. A grass-roots category competition (similar to the former Primary Competition) sanctioned by EC and subject to the rules set out in the Rules of Equestrian Canada, subject to discipline-specific restrictions. Competitors in this category of competition are not eligible to accumulate points for annual EC championship awards. Provinces may establish their own awards program for these competitions.
6. Sanctioned Competitions. Bronze, Silver, Gold and Platinum competitions are all sanctioned by Equestrian Canada and are subject to the rules set out in the Rules of Equestrian Canada.

### **COMPETITION ADMINISTRATION POLICY (CAP)**

This policy outlines the three-stage process for obtaining an EC-sanctioned competition sanction/licence, and the responsibilities of the competition organizing committee, the Participating Provincial or Territorial Sport Organization (PTSO) and Equestrian Canada regarding sanctioned competitions.

### **COMPETITION EMPLOYEES AND OFFICIALS**

All persons directly employed by the competition, and all individuals officiating at a competition including but not limited to judges, stewards, course designers, technical delegates, veterinarians, timekeepers, announcers and ringmasters. See also Licenced Officials.

### **COMPETITION MANAGER**

A person designated to manage a competition (see Competition Administration Policy 5.1.2). This person must hold a valid EC Sport Licence at or above the level of the competition, and must be in good standing.

### **COMPETITION ORGANIZING COMMITTEE OR MANAGEMENT**

All persons who are responsible for all or part of the management and organization of a sanctioned competition including but not limited to members of a competition's Board of Directors, the officers, competition committee chairperson or president, manager and secretary.

### **COMPULSORY TURNING FLAG (CTF)**

*In driving*, a pair of markers used to define the required track of the Marathon course. Each CTF must be numbered consecutively within the section and placed so they are clearly visible to be passed with the red marker on the right and white on the left. Numbering shall be affixed to the right hand marker using the shapes and colours defined for each division (Article C960.5)

### **COMPETITION YEAR**

The competition year is the calendar year.

## **COMPETITOR**

The person entered in a competition as a rider, driver, vaulter or handler.

1. Rider – directs the movement of or controls the horse from a saddle.
2. Driver – directs the movement of or controls the horse from either the ground or on a vehicle using a lead, lunge or reins augmented by whip and voice as the primary aids.
3. Vaulter – performs gymnastic and dance exercises on the back of a moving horse. A vaulter is not a rider, as the horse's movement is directed and controlled by a lunger using a lunge line, a lunge whip, and static side reins.
4. Handler – directs and controls the movement of the horse other than as specified above.

## **COMPLAINT**

A formal submission in writing to EC setting out the alleged breach of the EC rule or policy. Any member in good standing may make a complaint to EC as provided in –the EC Discipline, Complaints and Appeal Policy.

## **CONFLICT OF INTEREST**

A substantial appearance of a conflict of interest exists whenever others may reasonably infer from the given circumstances that a conflict exists. A conflict of interest is defined as any personal, professional or financial relationship, including, but not limited to, relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for, or on behalf of EC. For example, a person is considered to be in conflict of interest if that person or that person's family stands to benefit from a decision or from information obtained in the course of official duties and responsibilities which is not generally available to the membership or the public. See Chapter A14, Conflict of Interest Provisions.

## **CPC**

Canadian Pony Club

## **DISMOUNTING**

The deliberate departure of a person from the horse/carriage or the accidental leaving (falling off) of the horse/carriage .

## **DISQUALIFICATION**

Disciplinary action, prohibiting a competitor and/or entry from any further participation for the duration of a competition, and usually requiring the forfeiture of all winnings and the loss of entry fees.

## **DIVISION**

A grouping of entry based on competition criteria. Example: Training, Preliminary, Intermediate, Intermediate II, Advanced.

Blocks of FEI tests written by FEI and used by EC. Always prefaced by the abbreviation "FEI ...".

**DRP**

Dispute Resolution Path/Process

**DRR**

Dispute Resolution Request

**EC DRESSAGE COMMITTEE**

The National Committee responsible for the development of Dressage in Canada.

**EC DRIVING COMMITTEE**

The National Committee responsible for the development of driving in Canada.

**EC EVENTING COMMITTEE**

The National Committee responsible for the development of Eventing in Canada.

**EC JUMPING COMMITTEE**

The National Committee responsible for the development of the hunter, jumper and equitation divisions of equestrian sport in Canada.

**EC NATIONAL PASSPORT**

The EC national passport is for use in FEI CIM-level competitions within Canada by Canadian owned horses. Please note that Canadian owned horses competing outside of Canada will require an FEI Passport or FEI Recognition Card. Horses competing with the EC National Passport in FEI competitions must also have an annual FEI Registration. Horses competing in CI-level competitions or FEI competitions outside of Canada, must upgrade to an FEI Recognition Card.

**EC PARA-DRESSAGE COMMITTEE**

The National Committee responsible for the development of Para-Dressage in Canada.

**EC**

Equestrian Canada

**EC/USEF RECIPROCAL AGREEMENT**

An agreement between EC and USEF on the recognition and suspension of officials, amateur certification and jointly recognized competitions.

**ELIMINATION**

Exclusion from any further participation in the class in which the elimination occurs.

**ENTRY**

Horse or pony entered in competition, or, in equitation, horsemanship or reinsmanship classes, the rider or driver respectively.

**ENTRIES**

1. Applications to compete in an EC-sanctioned competition, which must be signed by an individual who holds a valid EC Sport Licence or member

of the national federation of another country, excepting parents or guardians signing an entry for a junior. See Chapter A9, Entries.

2. Late entry. An entry made and accepted after the closing date of entries and before the commencement date of the competition.
3. Post-entry. An entry made after the commencement of the competition or after the closing of entries, depending upon the rules of each competition.
4. Regular entry. An entry made before the closing date for regular entries.

## **EQUESTRIAN CANADA (EC)**

Equestrian Canada is the national governing body for all equine and equestrian sporting and recreational activities and interest (except racing) in Canada. If the name of EC changes at any time, any reference to EC will refer to its replacement name or organization.

## **EVENT**

The entirety of activities, classes, competitions or combinations thereof, commencing and concluding as defined by the Organizer in the Prize List and which is covered by these rules. Also see “Competition”.

## **EVENT LOCATION**

All lands used by the competitions comprising the event. The competition rings, arenas, warm-up areas, stabling, parking and all grounds available or used for an event or competition owned, leased or rented by the Organizing Committee for the purposes of holding an EC-sanctioned event.

## **EVENTING**

EC rules for the discipline of Eventing, formerly called Combined Training, cover Horse Trials, Two-Day Events and Three-Day Events.

## **FALLS**

Competitors are considered to have fallen when, either voluntarily or involuntarily, they are separated from their horse, which has not fallen, in such a way that they touch the ground or find it necessary, in order to get back into the saddle, to use some form of support or outside assistance.

1. A horse is considered to have fallen when at the same time both its shoulder and quarters have touched either the ground or the obstacle and the ground.
2. See also discipline/breed sport rules.

## **FEDERATION**

For the purposes of these rules, the “Federation” means Equestrian Canada or the replacement organization should its name change.

## **FÉDÉRATION EQUESTRE INTERNATIONALE (FEI)**

The Fédération Equestre Internationale is the international equestrian sport governing body, of which EC is a member.

## **FEES, NOMINATION AND START**

1. **Nomination Fee.** A fee, usually non-refundable, which is levied by the competitions and, in some cases, organizations sponsoring special competitions such as futurities, to establish eligibility and intent to enter classes or divisions or special events. The fee constitutes a part of the total entry fee and allows the competitor the option to compete, usually upon payment of an additional fee, in the classes or divisions or special events for which the nomination fee was paid.
2. **Start Fee.** An additional fee levied by the competition applied to previously nominated entries and paid before commencement of a class. Payment of the fee allows the entries to compete in the class for which they have been nominated.

## **FEI**

See Fédération Equestre Internationale

## **FEI SCHEDULE**

An official document approved by the FEI outlining relevant information of an Event, including but not limited to the dates and location of the Event, the dates by which entries must be received, the Disciplines in which Competitions will be held, the programme of Competitions, the categories, nationalities and other relevant details of invited Athletes and Horses, the stabling and accommodation available, the value of the prizes and their distribution, and any other relevant details.

## **FEI 1\*2\*3\* 4\* 5\***

Categories of FEI sanctioned competition and certification classification of FEI Officials.

## **GATE**

*In driving:* A pair of lettered or unlettered markers used in an obstacle to define the route.

## **GENERAL PERFORMANCE DIVISION**

A multi-performance division open to all horses, with classes conducted according to the General Performance rules. See Section F, General Performance.

## **GOOD STANDING**

Participants in good standing are individuals who hold sport licences with Equestrian Canada who have paid their current licence dues, are not currently suspended and/or are not subject to any form of disciplinary action as described in these rules.

## **GP**

Grand Prix

## **GPS**

*In dressage;* Grand Prix Special

**GPF**

*In dressage*; Grand Prix Freestyle

**GROOM, ATTENDANT OR ASSISTANT**

A person who assists a competitor.

**GUEST CARD**

A guest card is a temporary licence issued by EC for officials not listed in the current EC Officials Roster or not listed in the capacity or with the qualifications which the competition requires.

**HAND**

A hand is a unit of measurement that may be used to determine the height of a horse or pony. A hand measures four inches. Equines may also be measured in centimetres.

**HANDLER**

See “Competitor”.

**HEADER**

*In driving*; A groom or passenger, who “heads” a horse in a lineup in a driving class.

**HEADGEAR STANDARDS**

Protective headgear must be certified under one of the following standards: ASTM (American Society for Testing Materials)/SEI (Safety Equipment Institute, Inc.); BSI/BS EN (British Standards Institution); EN (European Union Standards); AS/NZS (Australian/New Zealand Standards); or CE VG1 01.040 2014-12 (provided they are BSI Kitemarked).

**HORS CONCOURS**

Non-competitive entry, entered in a competition with the permission of the organizing committee. Not eligible for any winnings at that competition from the time it performs hors concours. See discipline/breed sport rules.

**HORSE**

The term “horse” in this Rule Book, unless otherwise stated, denotes a horse, pony, mule, donkey, zebra or Very Small Equine (VSE). For competition purposes, a horse is over 14.2 hands high. For exceptions, see discipline/breed sport rules.

**HORSE RECORDING**

A Horse Recording is a digital identification document for horses competing at EC Silver, Gold and Platinum competitions. The purpose of a Horse Recording is to enable EC to create a database profile for every horse competing at EC-sanctioned competitions. This allows EC to:

- Track the number of competitions, and classes per competition, that a horse is entered in to protect horse welfare.
- Record competition results to aid owners in the marketing and sale of horses, and to identify successful bloodlines.

- Assist in horse identification and safeguard against horse misrepresentation.
- Track eligibility of all horses and ponies, and record official pony measurements, to ensure fair play.
- Accurately track horses throughout their lifetime, regardless of ownership and/or horse name changes.

## **I1**

*In dressage*; abbreviation for the Intermediate 1 test

## **I2**

*In dressage*; abbreviation for the Intermediate 2 test

## **IMMEDIATE FAMILY / FAMILY**

The words “immediate family” and “family” include the following: husband, wife, spouse, common law, same- or opposite-gender partner, parent, child, step-child, brother, sister, half-brother and sister, step-brother and sister, in-laws of the same relation stated above, grand-parents and grand-children, unless otherwise stipulated in discipline/breed sport rules.

## **JR**

Junior

## **JUDGING PANEL**

Two or more judges on the ring at the same time

## **JUNIOR/YOUTH**

1. Except as modified by discipline/breed sport rules, individuals are juniors until the end of the calendar year in which they reach the age of 18.
2. Junior “A”. Riders/drivers are classified as Junior “A” from the beginning of the calendar year in which they turn 16 until the end of the calendar year in which they turn 18.
3. Junior “B”. Riders/drivers are classified as Junior “B” from the beginning of the calendar year in which they turn 13 until the end of the calendar year in which they turn 15.
4. Junior “C”. Riders/drivers are classified as Junior “C” such until the end of the calendar year in which they turn 12.

In Western classes, individuals are youths until the end of the calendar year in which they turn 19. See also discipline/breed sport rules.

## **JURY**

For the purposes of these rules, a jury is deemed to be one judge or any number of judges as required by the class or event rules.

## **LAMENESS CATEGORIES**

**Grade I:** is defined as lameness difficult to observe. Not consistently apparent regardless of whether the horse is circling, going up or down a hill, trotting on a hard surface, etc.

**Grade II:** is defined as lameness difficult to observe at a walk or trot on a straight line.

**Grade III:** is defined as a consistently observable lameness at a trot.

**Grade IV:** is defined as an obvious lameness with marked nodding.

**Grade V:** is defined as minimal weight bearing on one leg, or inability to move.

*In endurance;* Grades III to V are automatically excused from BC judging; Grades I and II usually are not. The "soundness" score should reflect the significance of the gait impairment as well as the degree of impairment at that moment. A horse that merely has a peculiar way of going may appear slightly "off" so it is very important for the vet to have made notes, whether mental or otherwise, about how each horse moved at the pre-ride exam.

### **LESSEE**

An individual or group of individuals leasing a horse; to be official the lease must be registered with EC..

### **LEVEL**

Blocks of EC national dressage tests written by the USEF and used by EC. Refers to Training through Fourth Level and all Freestyles.

### **"M"**

Medium (Judge or Steward)

### **MEMBER**

Members of Equestrian Canada, including Category A, Category B and Category C members; see Equestrian Canada Bylaws, Article 3 – Membership. The term “member” may also be used in these rules to refer to a member of an organization such as FEI / USEF. See also Registered Participant

### **NATIONAL CHAMPIONSHIPS**

Any national competition may apply for National Championships through the EC National Office and pay the required fees.

### **NATIONAL FEDERATION (NF)**

The national sport governing body of a country, which is a member of the FEI.

### **NATIONAL OFFICE (EC)**

The administrative office of EC.

### **NATIONAL ORGANIZATION**

A Canadian equine or equestrian society or organization, which has objectives and aims with Canadian scope, is registered in Canada, has a Canadian head office and a Canadian Board of Directors.



## **NF**

See National Federation

## **O**

Open

## **OFFICIALS**

Officials, including judges, stewards, course designers and technical delegates, who are recognized and certified by Equestrian Canada to officiate at EC-sanctioned competitions.

## **PARTICIPANT**

Any person involved with, competing in, taking part in, in any capacity with regards to the said event. Said “event” is not restricted to competition and could include but is not limited to clinics, shows, competitions, demonstrations and training sessions. See also Registered Participant.

## **PARTICIPATING PTSO**

A Provincial or Territorial Sport Organization that has a current Affiliation Agreement with Equestrian Canada to provide various services and products and represents the aims and objectives of the national federation in its region.

## **PERSON(S) RESPONSIBLE**

The Person(s) responsible (PR) for a horse must be an adult who has, or shares responsibility for the care, training, custody, and performance of the horse and who has official responsibility for that horse under EC Rules. The PR is liable under the penalty provisions of the applicable EC Rules for any rule violations.

Every entry form for an EC sanctioned competition must identify the PR and be signed by the PR.

The Person(s) responsible is ultimately responsible for the condition, fitness and management of the horse and is alone responsible for any act performed by himself/herself or by any other person with authorized access to the horse in the stables, elsewhere on the grounds, or while the horse is being ridden, driven or exercised.

**A:** For adult entries into EC sanctioned competitions the PR shall be either the trainer, the owner of the horse or the competitor who rides or drives the horse during the EC sanctioned competition.

**B:** For Junior entries into EC sanctioned competitions the Junior competitor cannot be the PR. For Junior entries the PR may be either the trainer, the owner of the horse, or a parent/guardian of the Junior competitor.

## **PONY**

1. Ponies are animals that do not exceed 14.2 hands, unless otherwise specified in discipline/breed sport rules.
2. “A” ponies exceed 13.2 hands but do not exceed 14.2 hands
3. “B” ponies exceed 12.2 hands but do not exceed 13.2 hands

4. “C” ponies do not exceed 12.2 hands

### **PRIZE LIST**

Required for all EC-sanctioned competitions. A publication which serves as an invitation to compete and provides all information required by the officials and the competitors in the competition. See Chapter A6, Prize Lists and Entry Forms.

### **PROTECTIVE HEADGEAR**

1. Protective headgear must be:
  - a) approved by an accredited certification organization (HEADGEAR STANDARDS).
  - b) properly fitted; and
  - c) securely fastened by a permanently affixed safety harness.
2. Any competitor may wear approved protective headgear in any division or class without penalty from the judge.
3. Equestrian Canada makes no representation or warranty, expressed or implied, about any approved protective headgear. Equestrian Canada cautions riders and Athletes that serious injury or death may result despite wearing such headgear, as all equestrian sports involve inherent risk, and no protective headgear can protect against all foreseeable injury.

### **PROTECTIVE VEST (BACK PROTECTORS)**

1. Protective vest must be:
  - a) properly fitted; and
  - b) securely fastened.
2. Any competitor may wear a protective vest in any division or class without penalty from the judge.
3. Equestrian Canada makes no representation or warranty, expressed or implied, about any protective vest and does not imply protective vests may protect against all foreseeable injury.

### **PROTEST**

A formal process, expressed in writing, to the Competition Organizing Committee (OC) to lodge a dispute, disagreement or grievance regarding the conduct of the EC-sanctioned competition or an alleged rule or policy violation on the part of the OC or official(s) at an EC-sanctioned competition. See Article A1204 – Filing a Protest.

### **PROVINCE (PARTICIPATING PTSO)**

For the purposes of these rules, the term “Province” refers to the Provincial or Territorial Equestrian Sport Organization,

### **PSG**

*In dressage; Prix St. Georges*

## **PTSO**

Provincial/Territorial Sports Organization (provincial or territorial organization overseeing equestrian activities)

## **“R”**

Recorded (Judge)

## **RAPPING**

The term “rapping” is construed to include all of the artificial techniques intended to induce a horse to jump higher or more carefully in competition. It is not practical to list every possible means of rapping, but in general it consists of the competitor — and/or dismounted assistants, for whose behavior the competitor is responsible — either hitting the horse’s legs manually with something (no matter with what or by whom) or deliberately causing the horse to hit something itself, whether by building fences too large and/or too wide, setting false ground lines, placing trot poles or elements of a combination at a false distance, intentionally pushing the horse into a fence or otherwise making it difficult or impossible for the horse to negotiate the practice obstacle without hitting it.

## **REGISTERED LEASE**

A lease registered with EC or the FEI. See Article A817, Registered Leases.

## **REGISTERED PARTICIPANT**

Any Person registered with Equestrian Canada, including Sport Licence Holders, and paying dues to obtain some benefit from Equestrian Canada. Registered Participant status is included with a PTSO membership.

## **REMUNERATION**

For the purposes of these rules, remuneration is defined as any payment, either in cash or in kind, with the exception of gifts of token value.

1. Remuneration does NOT include:
  - a) payment made to any competition official
  - b) reimbursement for expenses without profit
  - c) winnings paid to a horse’s owner

## **RULE BOOK/RULES**

“Rule Book” refers to the Rules of Equestrian Canada and all its parts. “Rules” refer to the rules and regulations of EC contained in the Rule Book.

## **“S”**

Senior (Judge or Steward)

## **SENIOR**

Individuals are adults or seniors from the beginning of the calendar year in which they reach the age of nineteen. For FEI rules visit [www.fei.org](http://www.fei.org)

## **SHOWN AND JUDGED**

To be “shown and judged” in a class, an animal must perform the prescribed routine and must remain in the ring until excused by the judge.

**SOUNDNESS**

Must be serviceably sound. See also Unsoundness.

**SPORT LICENCE**

EC Sport Licences are required by competitors, owners or lessees, and individuals/corporations accepting responsibility for entries in EC-sanctioned competitions.

**START OF A COMPETITION**

The official start of a competition relates to the same day that officials are required to be on duty. For exceptions, see discipline/breed sport rules.

**SUSPENSION**

Disciplinary action resulting in the suspension of a horse and/or owner, lessee, rider, driver, handler or any other responsible party from further participation in EC-sanctioned competition until the term of the suspension has expired.

**TRAINER**

An adult who has the responsibility for the care, training, custody and performance of the horse.

**TROPHY**

1. Challenge Trophy - A challenge trophy has to be won a specified number of times for outright possession.
2. Perpetual Trophy - A perpetual trophy remains in the possession of the winner for a period of 11 months, at the end of which time it is to be returned to the competition organizing committee. A replica may be given instead of a perpetual trophy.

**TURNOUT**

*In driving*; The assemblage including the Athlete (Athlete), required groom(s), horse(s) with harness and carriage appropriate to the competition. Description includes configuration – single, pair, tandem, unicorn or four-in-hand. Examples: Horse single; pony pair; VSE unicorn; Small pony tandem

**UNSOUNDNESS**

Unsoundness is:

- a) consistently observable at any gait under all circumstances;
- b) marked nodding, hitching or shortened stride; or
- c) minimal weight-bearing in motion and/or rest and inability to move.
- d) Any manifestation of pain, inability, disability or deformity in the act of motion is considered an unsoundness.

**UNITED STATES EQUESTRIAN FEDERATION (USEF)**

The equestrian sport governing body of the United States.

**USDF**

United States Dressage Federation

## **USEF**

United States Equestrian Federation

## **VALID SPORT LICENCE**

A Sport Licence is considered valid when it is current and the holder is in good standing.

## **VETERINARIAN**

Veterinarian: the veterinarian must be licenced to practice in the province/territory in which the competition is being held or in the home province/territory of the horse that is in competition and owner of or employed by a practice that is approved by its province/territory to participate in equine practice.

## **VIOLATION**

For the purpose of these rules, a violation is deemed to be any act prejudicial to the interests of EC. See Article A1207 – Violations.

## **WINNINGS**

All ribbons, prizes, prize money, trophies and points won by a horse.

## **YELLOW WARNING CARD**

An alternative to other options in the EC legal system, (eg. fines or disqualification) and is for cases of violations deemed to be minor in nature.

## **YR**

Young Rider

## **YOUNG RIDER/DRIVER**

Young riders/drivers are such from the beginning of the calendar year in which they reach the age of sixteen until the end of the calendar year in which they reach the age of 21.

## **METRIC CONVERSION TABLE**

<b><u>WHEN YOU KNOW .....</u></b>	<b><u>MULTIPLY BY .....</u></b>	<b><u>TO FIND</u></b>
Hands .....	4 .....	Inches
Inches .....	2.54 .....	Centimeters
Centimeters .....	0.3937 .....	Inches
Yards .....	0.9 .....	Meters
Meters.....	3.281 .....	Feet
Feet.....	0.3048 .....	Meters
Miles.....	1.609 .....	Kilometers
Kilometers .....	0.6214 .....	Miles
Pounds.....	0.4536 .....	Kilograms
Kilograms.....	2.205 .....	Pounds

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