



SCHEDULE OF FINES & PENALTIES

Effective Jan. 1, 2025

DAYS: Days shall mean total days irrespective of weekends and holidays.

WRITTEN NOTICE: Written notice is defined to include any representation of words, letters, symbols, numbers or figures, whether printed in or inscribed on a tangible medium or stored in an electronic form or other medium.

Sport Licence and Horse Recording Fines

Infraction	Rule/Policy	Fine/Penalty
Horse Recording violation	Equestrian Canada (EC) Rules, Section A: General Regulations, Article A407	First offence: \$200 Second offence: hearing
Athlete competing while medically suspended	EC Rules, Section A: General Regulations, Article A101	\$400 & 90 days Not in Good Standing (NSG)
Stewards/Technical Delegates (TDs) failing to submit EC accident injury report form for urgent injuries within 24 hours	EC Rules, Section A: General Regulations, Article A101	\$400 & 90 days NGS

Competition Document Penalties

Infraction	Rule/Policy	Penalty
Failure to submit competition application form at least 60 days prior to competition start date	EC Competition Administration Policy (CAP), Section 6.2.2	\$100
Failure to submit draft prize list to EC Steward/TD within 60 days prior to closing date for entries	EC CAP, Section 6.2.2	\$100
Failure to obtain prize list approval from EC Steward/TD 30 days prior to closing date for entries	EC Rules, Section A: General Regulations, Article A601.3 EC CAP, Section 6.2.3b	\$100 – Bronze \$150 – Silver \$250 – Gold
Publishing the prize list prior to obtaining approval from EC Steward/TD	EC Rules, Section A: General Regulations, Article A601.3	\$100 – Bronze \$250 – Silver \$500 – Gold
Failure to submit copy of final approved prize list in electronic format 30 days prior to closing date for entries	EC CAP, Section 6.2.3c	\$100
Failure to submit modification forms (changes/additional information regarding dates, level of competition or divisions) 30 days prior to competition start date *Does not apply to modifications of officials	EC CAP, Section 6.2.4	\$100



Infraction	Rule/Policy	Penalty
Failure to submit EC Certificate of Insurance 30 days prior to competition start date	EC Rules, Section A: General Regulations, Article A507 EC CAP, Section 6.2.3a	\$100
Failure to submit competition sanctioning fees and guest card fees 30 days prior to competition start date *Exceptions may be granted in extreme circumstances	EC CAP, Section 6.2.3d	\$100
Failure to submit Competition Master Report within 14 days following competition	EC CAP, Section 6.4.1	Fines start at \$100 and follow the competition licensing fee chart in EC's Schedule of Fees. If no prize money is offered, the fine will equal the amount of lowest prize money tier.
Failure to submit Equine Medication Control Fees/Form within 14 days following competition	EC Rules, Section A: General Regulations, Article A1008.2 EC CAP, Section 6.4.1	Fines start at \$100 and follow the competition licensing fee chart in EC's Schedule of Fees. If no prize money is offered, the fine will equal the amount of lowest prize money tier.
Failure to remit documents, payments and late fees within 30 days following competition	EC CAP, Section 6.4.1	Outstanding fees in excess of 30 days will result in the Competition Manager or Organizing Committee being listed NGS until fines are paid and all documents and fees are submitted
Failure to submit competition results within 7 days following competition	EC CAP, Section 6.7.4	\$200



Competition Fines*

Infraction	Rule/Policy	Penalty
Using an official who is qualified but has not been approved	EC CAP, Section 6.2.3	\$100/official
Using an official who is neither qualified nor approved	EC CAP, Section 6.2.3	\$500/official
Official's exceeding 16 hours of service at a competition (payable to Equestrian Canada)	EC Rules, Section A: General Regulations, Article A504.1	\$250 per hour exceeding 16 hours
Failure to pay out prize money to entries. All prize money must be paid within 30 days of the last day of competition.	EC Rules, Section A: General Regulations, Article A701	\$100/entry
Failure to pay competition officials. All officials must be paid within 30 days of the last day of competition. Failure to pay officials can result in the removal of future sanctioning.	EC CAP, Section 6.1.6	\$150/official
Official working at a non-sanctioned competition	EC Rules, Section A: General Regulations, Article A1301.8	First offence: \$100 Second offence: \$250 & 30 day suspension Third offence: hearing (up to \$1,000 fine & suspension up to 1 year)

*Note: will be handled on a case-by-case basis

Equine Medication Control Fines

Infraction	Rule/Policy	Penalty
Refusal of a request by an on-duty EC licensed official to provide for independent inspection and testing the equipment and materials used for the injection of a horse at an EC sanctioned competition	EC Rules, Section A: General Regulations, Article A1010.1i	Automatic hearing \$2,500 to \$4,000 fine 90-day suspension
Found in possession or administering/permitting the administration by any means of any of the following drugs to a horse entered in an EC sanctioned competitions: (i) injectable magnesium, (ii) gamma amino butyric acid (GABA) or (iii) hydroxy-gamma butyric acid (Hydroxy- GABA)	EC Rules, Section A: General Regulations, Articles A1010.1g & A1010.1h	Automatic hearing \$2,500 to \$4,000 fine 90-day suspension
Found to have violated the prohibited practice of administering any medication or substances by injection to a horse prior to competition: (i) on the same day as competition for classes commencing before 6pm or (ii) after 10am for classes commencing after 6pm	EC Rules, Section A: General Regulations, Article A1003	First offence: yellow card issued to Person Responsible(s) (PR), horse eliminated from competition Subsequent offences: yellow card issued to PR(s), horse eliminated from competition, automatic hearing
Presence of medroxyprogesterone in an official EC Equine Medication Control Sample	EC Rules, Section A: General Regulations, Article A1003	First offence: Class 2 Medication Control Violation Note: subsequent offences will be sanctioned as second offences and require a hearing



Table 1: Equine Medication Control Administrative Fines & Penalties

Infraction Medication Class	Administrative Penalty (No hearing settlement; first offence only)	Horse suspension for horse owned by PR	Horse suspension for horse not owned by PR
Class 1	\$2,500 fine & 90-day suspension	90 days	60 days
Class 2	\$2,000 fine & 45-day suspension	45 days	30 days
Class 3	\$1,500 fine & 30-day suspension	30 days	30 days
Class 4	\$1000 fine & 15-day suspension	15 days	15 days
Class 5	\$750 fine & no suspension	No suspension	No suspension

Note: suspensions will continue until all fines are paid in full

Table 2: Equine Medication Control Hearings Fines & Penalties

Class of Infraction	PR Penalties (First offence only)*	Horse suspension for horse owned by PR (First offence only)*	Horse suspension for horse not owned by PR (First offence only)*
Class 1	\$2,500 to \$5,000 fine 90-day to 24-month suspension	90 days to 24 months	60 days to 24 months
Class 2	\$2,000 to \$4,000 fine 60 to 90-day suspension	60 to 90 days	60 to 90 days
Class 3	\$1,500 to \$3,000 fine 45 to 90-day suspension	45 to 90 days	45 to 90 days
Class 4	\$1,000 to \$2,000 fine 30 to 60-day suspension	30 to 60 days	30 to 60 days
Class 5	\$750 to \$1,500 fine 15 to 30-day suspension	15 to 30 days	15 to 30 days
Second Offence	\$2,000 to \$7,500 fine 30-day to 24-month suspension	30 days to 24 months	30 days to 24 months
Third Offence	\$3,000 to \$15,000 fine 60-day to lifetime suspension	60 days to lifetime	60 days to lifetime
<p>When the PR elects to hold a hearing instead of accepting the administrative penalty (first offence for classes 1-5), the hearing charge is \$750. A deposit of \$250 is due with the written request to hold a hearing. The hearing panel can determine to increase this amount up to \$5,000 on a case-by-case basis.</p>			
<p>A second or third offence for the PR is applicable for any class of violation and/or any prohibited substance found for a second or third time in the same horse or different horses.</p>			
<p>*All prizes, ribbons and prize money won by the horse at the competition must be returned to the competition organizing committee where the infraction occurred.</p>			
<p>*The PR and the horse will be placed NGS for the duration of the suspension and until all fines and hearing fees are paid in full.</p>			



Fines Listed in EC Rules, Section A: General Regulations & Competition Administration Policy (CAP)

Infraction	Rule/Policy	Penalty
Maximum fine (imposed by Competition Organizing Committee) for violation during a particular competition	EC Rules, Section A: General Regulations, Article A1213.4	\$1,000
Maximum fine (imposed by the Participating PTSO) for infraction other than drug violations	EC Rules, Section A: General Regulations, Article A1213.5	\$5,000
Costs for Provincial or National Hearing Panel	EC Rules, Section A: General Regulations, Article A1213.5.a	\$1,500
Maximum fine (imposed by National Appeal Panel)	EC Rules, Section A: General Regulations, Article A1213.5	\$5,000
Athlete competing without an EC Sport Licence or with an invalid EC Sport Licence and/or Amateur Card	EC Rules, Section A: General Regulations, Article A203	\$60
Athlete/owner competing a horse without an EC Horse Recording/Passport or with an invalid Horse Recording/Passport	EC Rules, Section A: General Regulations, Article A407	\$100
Competition knowingly accepting an entry who is NGS	EC Rules, Section A: General Regulations, Article A801.11	\$100 – Bronze \$250 – Silver \$500 – Gold
Competition accepting an invalid entry (including sport licences, amateur status or Horse Recordings)	EC Rules, Section A: General Regulations, Article A801.11 CAP, Section 6.3.2	\$20/invalid entry (max \$600)
Competition taking place without a licence (first offence)	CAP, Section 8.1	First offence: \$500 Second offence: \$3,000

Competition Manager fines for not supplying suitable facilities for drug testing

Class of Infraction	Competition Manager
First offence	Warning
Second offence	\$500 fine
Third offence	Hearing & competition date may be revoked

Penalties Per the EC Discipline Complaints and Appeals Policy

Infraction	Fine	Suspension
Mandatory fines for violation of EC policies	First Offense: up to \$24,000.00 Second Offense: up to \$64,000.00 Third Offense: up to \$100,000.00	Discretion of the Hearing Panel
Unsuccessful appeal of a breach of the Code of Conduct and Ethics or UCCMS	Appellant is responsible for costs up to a maximum of \$15,000.00 (including the appeal filing fee)	Discretion of the Hearing Panel



Penalties Per the EC Horse Welfare Code of Conduct

Infraction	Fine	Suspension
5. Excessive use of whip or spurs or tongue straps and improper use of bits *	First Offense: up to \$6,000.00 Second Offense: up to \$12,000.00 Third Offense: up to \$24,000.00	First Offense: up to 6 months Second Offense: up to 12 months Third Offense: up to 24 months
16. Use of illegal equipment*** with no intent to cause harm or pain to a Horse or which doesn't cause harm or pain to a Horse *	Discretion of the Hearing Panel	
17. Intentional use of illegal equipment*** to cause harm or pain to a Horse *	First Offense: up to \$12,000.00 Second Offense: up to \$18,000.00 Third Offense: up to \$36,000.00	First Offense: up to 12 months Second Offense: up to 18 months Third Offense **: up to 36 months
18. Cruelty/Abuse/Neglect – Includes excessive riding, lunging, training and showing, deprivation of water and feed, striking with an object, unintentional death, and violations of the 12-hour injection rule involving forbidden substances for purpose of competition. *	First Offense: up to \$12,000.00 Second Offense: up to \$24,000.00 Third Offense: up to \$60,000.00	First Offense: up to 12 months Second Offense: up to 24 months Third Offense: up to 60 months **
19. Death and Maiming – Includes acts in which the death of a Horse occurred, but was not intended *	First Offense: up to \$36,000.00 Second Offense: up to \$60,000.00 Third Offense: up to \$100,000.00	First Offense: up to 36 months ** Second Offense: up to 60 months ** Third Offense: Lifetime ban
20. Intentional death for financial or other means	\$100,000.00	Lifetime ban
<p>* If there are multiple horses involved, the penalty should apply to each Horse and run consecutively.</p> <p>** Must appear before the Hearing Panel and provide proof of rehabilitation before being eligible to regain membership.</p> <p>*** Illegal equipment are modifications to tack/equipment to cause intentional pain or injury with the objective of punishment or to enhance performance as well as any equipment or accessories that admit or cause electric shock.</p>		
<p>The penalty types and ranges below are simple guidelines and are not mandatory. These guidelines are intended to provide a basis upon which discretion can be exercised consistently in like circumstances but are not binding on the Hearing Committee Panels. Depending on the facts and circumstances of each case, a Hearing Committee Panel may determine that no purpose is served by imposing a penalty at all. Conversely, a Hearing Committee Panel may determine that the facts and circumstances of a specific case may call for the imposition of penalties above or outside of the stated range. Examples include, but not are limited to, prior rule violations, egregious misconduct, the need for increased deterrence, or certain policy considerations.</p>		